U.S. egg products, including shell eggs, powdered and liquid egg products, will be required to have a health certificate for export to Hong Kong effective December 5, 2015. The U.S. and the Hong Kong government are still working on the attestations on the health certificates.

This report lists the certificates required by the Hong Kong government (HKG) for U.S. exports to Hong Kong. Certificate samples for various product categories are included in the appendix.
This report was prepared by the Agricultural Trade Office of the Foreign Agricultural Service in Hong Kong for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, information provided may not be completely accurate either because policies have changed since its preparation, or because clear and consistent information about these policies was not available. It is highly recommended that U.S. exporters verify the full set of certificate requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. **FINAL IMPORT APPROVAL OF ANY PRODUCT IS SUBJECT TO THE IMPORTING COUNTRY’S RULES AND REGULATIONS.**

(For a general guideline on agricultural and food import regulations, please refer to GAIN Report-FAIRS Narrative)

**Section I. List of All Export Certificates Required By Government (Matrix):**

Note:  APHIS - Animal and Plant Health Inspection Service  
FSIS  - Food Safety and Inspection Service  
FEHD - Food and Environmental Hygiene Department  
CITES - Convention on International Trade in Endangered Species of Wild Fauna and Flora  
AFCD - Agriculture, Fisheries and Conservation Department  
AMS  - Agricultural Marketing Service

Samples Certificates See Appendix

<table>
<thead>
<tr>
<th>Products</th>
<th>U.S. Issuing Authority</th>
<th>Attestation Required on Certificate</th>
<th>Purpose</th>
<th>Requesting Ministry</th>
</tr>
</thead>
<tbody>
<tr>
<td>Poultry</td>
<td>FSIS form 9060-5 (A1)</td>
<td>Production Dates</td>
<td>Health certificate</td>
<td>FEHD</td>
</tr>
<tr>
<td></td>
<td>Letterhead certificate (A2)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pork</td>
<td>FSIS form 9060-5 (A1)</td>
<td></td>
<td>Health certificate</td>
<td>FEHD</td>
</tr>
<tr>
<td>Beef</td>
<td>FSIS form 9297-1 (A3)</td>
<td>Slaughter Dates</td>
<td>Health certificate</td>
<td>FEHD</td>
</tr>
<tr>
<td></td>
<td>Letterhead certificate – form 2630-9(6/86) (A4)</td>
<td>Processing Dates</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Alligator meat</td>
<td>Not covered by FSIS, therefore, either one</td>
<td>Health Certificate</td>
<td></td>
<td>FEHD</td>
</tr>
<tr>
<td><strong>Fish &amp; Seafood Products</strong>&lt;br&gt;(not mandatory but strongly recommended by the HKG)</td>
<td>Either one of the following certificates to be provided:&lt;br&gt;1) Export Health Certificate issued by the U.S. Department of Commerce (A5)&lt;br&gt;2) Certificate of Veterinary Inspection issued by individual State (A6) &amp; (A9)</td>
<td>To observe the Protection of Endangered Species of Animals and Plants Ordinance which gives effect to CITES</td>
<td>AFCD</td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td></td>
</tr>
<tr>
<td><strong>Milk and Milk Beverages</strong></td>
<td>Either an AMS certificate issued by</td>
<td>Health certificate</td>
<td>FEHD</td>
<td></td>
</tr>
<tr>
<td><strong>U.S. Fish and Wildlife Service – Declaration for Importation or Exportation of Fish or Wildlife (A7)</strong></td>
<td>Scientific name is provided</td>
<td>To provide scientific name</td>
<td>FEHD &amp; AFCD</td>
<td></td>
</tr>
<tr>
<td><strong>If the game meat is from an endangered species and listed under CITES, a CITES export permit is required. (A8)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
AMS, U.S. Department of Agriculture (A10) or Health Certificate issued by an individual State where the food product was produced. The HKG accepts a Certificate of Free Sale issued by the competent health authority in the US (A11).

Certificate of Analysis showing microbiological examination and composition analysis. Microbiological examination refers to total bacterial count and coliform organisms count for pasteurized products while colony count for UHT/sterilized products. Composition analysis has to show percentage of milk fat (for both milk and milk beverage) and milk solids other than milk fat (for milk). (A12)

The products are fit for human consumption and have been handled, processed and packed under hygienic conditions and heat treated in accordance with the appropriate heat treatment regulation of the country of origin.

The Certificate of Analysis has to include the certificate number of the Certificate of Free Sale or AMS certificate, as appropriate, for cross
| Frozen Confections | Health Certificate issued by the competent health authority of the US where the food product was produced. HKG accepts a certificate of free sale issued by the competent health authority of the USA. (A13.1) & (A13.2) Certificate of Analysis showing microbiological examination and composition analysis. (A12) Microbiological analysis shows total bacterial count and coliform organisms count. (The frozen confection contains no more than 50,000 bacteria per gram and no more than 100 coliform organisms per gram.) Composition analysis has to show percentage of fat, sugar and milk solids other than milk fat - applies to those frozen confections described as ice cream only. (The frozen confection is fit for human consumption and has been handled, processed and packed under hygiene conditions and has been heat treated in accordance with the frozen confection heat treatment regulations of the country of origin.) | Health certificate | FEHD |
frozen confection contains no less than 5% fat, 10% sugar and 7.5% milk solids other than milk fat. This condition applies to those frozen confections described as ice cream only.)

The Certificate of Analysis has to include the certificate number of the Certificate of Free Sale or AMS certificate, as appropriate, for cross reference.

The Certificate of Analysis must be officially endorsed by a Notary Public.

<table>
<thead>
<tr>
<th>Plants (For CITES listed Plants, e.g. ginseng)</th>
<th>APHIS PPQ Form (A14)</th>
<th>CITES certificate issued by US Fish and Wildlife Service Required (A15) &amp; (A16)</th>
<th>Phytosanitary Certificate To observe the Protection of Endangered Species of Animals and Plants Ordinance which gives effect to CITES</th>
<th>AFCD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Because of risk of animal diseases such as BSE and Avian Influenza cases, the HKG</td>
<td>APHIS certificates</td>
<td>See circulars by Hong Kong government circulars (A17–21)</td>
<td>Sanitary certificates</td>
<td>AFCD</td>
</tr>
</tbody>
</table>
recommends HK importers provide sanitary certificates for the following products:
- preserved/stuffed animal specimen
- biological products of animal origin
- hides and skins of cattle origin
- feathers
- pet food/animal feed

Table Eggs
U.S. exporters are required to provide a health certificate effective December 5, 2015.

| Other Egg Products, including powdered eggs and liquid eggs | AMS | (attestations not yet finalized) Date of production; name and address of processing plant; products fit for human consumption; Disease free certification statements as stated in appendix A22.1 – A22.3 | Health certificates | FEHD |

**Section II. Purpose of Specific Export Certificate(s)**

Please refer to the Matrix under Section I.

**Section III. Specific Attestations Required on Export Certificate(s)**

Please refer to the Matrix under Section I.
Section IV. Government Certificate’s Legal Entry Requirements

For meats, milk, frozen confections, and plant imports to Hong Kong, the relevant Hong Kong laws require that each shipment must be accompanied by a valid health/phytosanitary certificate issued by government authorities. Suppliers or Manufacturers Export Declaration are not regarded as valid certificates.

**Poultry, Red Meats and Game Meats**

A certificate from FSIS is compulsory. Species should be given as part of product description on FSIS certificates.

If the specie is not covered by an FSIS inspection, a certificate issued by APHIS or a state government may be acceptable.

If the shipment is of a game meat product, the certificate must show the scientific name and the origin.

If the game meat comes from a CITES listed specie, the shipment needs to be accompanied by a CITES export permit.

U.S. exporters are advised to contact the Food Safety and Inspection Service or ATO Hong Kong to check if any trade protocol has established between the U.S. and Hong Kong government with regard to the export of a particular exotic meat to Hong Kong.

An import protocol for U.S. bison meat and ostrich meat to Hong Kong has yet to be established.

**Eggs**

The Hong Kong government is planning to require mandatory certification for table eggs and other egg products including pasteurized egg, liquid egg and powdered egg products, effective December 5, 2015.

The USDA and the HKG are in the process of negotiating the attestations on the certificates.

**Seafood Products**

The HKG has shelved its legislative plan to introduce mandatory certification for seafood products.

While no specific Hong Kong laws requires health certificates for marine products, the FEHD strongly encourages importers to obtain certificates issued by U.S. health authorities to accompany imports certifying that the marine products concerned are fit for human consumption. Valid certificates expedite customs clearance.

**Milk and Frozen Confections**

Hong Kong laws require that imports of milk and frozen confections obtain prior approval. In addition,
each consignment must be accompanied by a valid certificate. For products from the U.S., the Hong Kong government accepts certificates issued by a State government.

**Plants**

The Hong Kong government requires plant shipments from the U.S. be accompanied by phytosanitary certificates issued by APHIS. If the specie is listed on CITES, a CITES export permit is required as well.

**Products Containing Living Modified Organisms**

Hong Kong passed a Genetically Modified Organisms (Control of Release) Ordinance and the Genetically Modified Organisms (Documentation for Import and Export) Regulation in March 2010 and November 2010 respectively. There are documentation requirements for shipments containing genetically modified organisms (GMOs) since the commencement of the Ordinance and the Regulation in March 2011. GMOs in the Ordinance are referred to as LMOs or living modified organisms. Shipments containing GMOs for food or feed or for processing must be accompanied by documentation containing the following information:

- If the identity of the GMO is known, the shipment contains such a GMO; if the identity of the GMO is not known, the shipment may contain such a GMO;
- The GMO is not intended for release into the environment;
- The common name, scientific name and, where available, commercial name of the GMO;
- The Internet address of the Biosafety Clearing House;
- The transformation event code of the GMO or, where available, its unique identifier code; and,
- The details of the importer or exporter (such as name, address and contact information) for further information.

There is no specific requirement regarding the form of documentation accompanying GMO shipments. The use of a commercial invoice or other documents required by existing documentation systems are sufficient.


Products containing GMO ingredients for release into the environment or for contained use are required to provide different attestations on documents. Also, importers are required to seek prior approval from AFCD before the importation of products containing GMOs, which are intended to be released to the environment.

**Horses**
USDA APHIS has a bilateral agreement with Hong Kong on U.S. horse exports. Three certificates were developed for the: (1) permanent importation, (2) temporary importation, and (3) transit of U.S.-origin equines based on revised Hong Kong requirements that went into effect on September 20, 2011. The bilateral agreements relax the veterinary examination and animal disease testing requirements and timelines for equines from the United States. For details, please contact APHIS Beijing at 86-10-8531-3033 (fax) or ying.zhang@aphis.usda.gov

Appendix: Electronic Copy, scanned coy or Outline of Each Export Certificate

A1. FSIS 9060-5
A2. FSIS Letter Certificate for Poultry

<table>
<thead>
<tr>
<th>DISTRICT OFFICE</th>
<th>COUNTRY OF DESTINATION</th>
<th>DATE ISSUED</th>
<th>MPF - 130405</th>
</tr>
</thead>
<tbody>
<tr>
<td>J ACKSON, MS</td>
<td>K ONG K ONG</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EXPORTED BY</th>
<th>(Applicant's name and address including ZIP Code)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CONSIGNEO TO</th>
<th>(Name and address including ZIP Code)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>TOTAL MARKED NET WEIGHT</th>
<th>TOTAL CONTAINERS</th>
</tr>
</thead>
<tbody>
<tr>
<td>52,911 LBS</td>
<td>1,600 CS</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PRODUCT AS LABELED</th>
<th>MARKED WEIGHT OF LOT</th>
<th>NUMBER OF PACKAGES IN LOT</th>
<th>SHIPPING MARKS</th>
<th>EST / PLANT NUMBER ON PRODUCT</th>
</tr>
</thead>
<tbody>
<tr>
<td>IQF MIDJOINT</td>
<td>52,911 LBS</td>
<td>1,600 LBS CASES</td>
<td>021868</td>
<td></td>
</tr>
<tr>
<td>KINGS 5K 5 KG</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

REMARKS

PRODUCTION DATES: MAY 2004 AND JUNE 2004

CERTIFY that the meat or meat food product specified herein is from animals that received both antemortem and postmortem inspection and were found sound and healthy and that it has been inspected and passed as provided by law and regulations of the Department and is sound and wholesome.

CERTIFY that the poultry and poultry products specified above came from birds that were officially given an antemortem and postmortem inspection and passed in accordance with applicable laws and regulations of the United States Department of Agriculture and are wholesome and fit for human consumption.

NOT VALID UNLESS SIGNED BY AN INSPECTOR OF MEAT AND POULTRY INSPECTION PROGRAM

By order of the Secretary of Agriculture

IN inspecTeo AND DISTRICT:

This certificate is receivable in all courts of the United States as prima facie evidence of the truth of the statements therein contained.

This certificate does not exonerate failure to comply with any of the regulatory laws enforced by the United States Department of Agriculture.
Letterhead Certificate for Raw Poultry Meat Exported to Hong Kong

Date Issued: Certificate Number: 130405

1. The meat was derived from birds found clinically free from generalized contagious, infectious and parasitic diseases.

2. The meat was derived from birds that were free from clinical signs of avian influenza (AI) and Newcastle disease (ND). The birds have been kept on farms free from AI and ND and not situated in an AI or ND infected zone, as defined by OIE. The birds were slaughtered in an establishment not situated in an AI or ND infected zone and were found to be healthy upon ante- and postmortem inspection.

3. The birds, from which the meat was derived, were subject to ante- and postmortem veterinary inspection by an official veterinarian and the meat was found to be sound, wholesome and fit for human consumption.

4. The birds were slaughtered, processed, cut and stored in establishments approved by USDA/FSIS and are eligible to produce and store poultry meat for domestic and international commerce.

5. The birds have been slaughtered and processed hygienically and the meat complies with all current USDA laws and regulations.

6. The poultry meat was packed and transported under hygienic conditions.

7. The poultry meat has been produced subject to the U.S. National Residue Program. The results of the tests have not provided any evidence of the presence of chemical/drug residues or toxic substances which could be harmful to human health.

8. The consignment of poultry meat was derived from live poultry which had been subjected to ante- and postmortem inspection and were found free of highly pathogenic avian influenza.

Signature of FSIS Veterinarian: 

Printed Name: 

Title/Professional Degree: DVM

FSIS Form 3550-8 (11/16)
A3. FSIS form 9297-1 for Beef Exports to Hong Kong

<table>
<thead>
<tr>
<th>DISTRICT OFFICE</th>
<th>COUNTRY OF DESTINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Hong Kong</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EXPORTED BY (Applicant's name and address including ZIP Code)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>CONSIGNEE TO (Name and address including ZIP Code)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>TOTAL MARKED NET WEIGHT</th>
<th>TOTAL CONTAINERS</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>PRODUCT AS LABELED</th>
<th>MARKED WEIGHT OF LOT 1</th>
<th>NUMBER OF PACKAGES IN LOT 1</th>
<th>SHIPPING MARKS</th>
<th>EST / PLANT NUMBER ON PRODUCT</th>
</tr>
</thead>
</table>

**Remarks**

A4. Letterhead Certificate for Beef
Letterhead Certificate for the Export of Beef and Beef Products to Hong Kong
Slaughtered on or after June 17, 2014

Date Issued: ___________________ Certificate Number: ___________________

1. The beef or beef products exclude mechanically separated beef, products from advanced recovery system, lean finely textured beef, scrap meat, trimmings or other pieces (whether with or without bone) indistinguishable from trimmings.

2. The feeding of ruminants with meat and bone meal and greaves derived from ruminants has been banned and the ban has been effectively enforced.

3. The beef or beef products were neither derived from nor contaminated with the following specified risk materials: the brains, skull, eyes, trigeminal ganglia, spinal cord, vertebral column (excluding the vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae, and the wings of the sacrum), and dorsal root ganglia of cattle 30 months of age and older, and the tonsils and distal ileum of any cattle regardless of age.

4. The cattle from which beef or beef products were derived were not subjected to a stunning process, prior to slaughter, with a device injecting compressed air or gas into the cranial cavity or to a pithing process.

5. The beef or beef products were derived from cattle that passed ante-mortem and post-mortem inspection. The beef or beef products were derived from cattle that were slaughtered under official supervision in FSIS-inspected establishments. The beef or beef products were derived from cattle free from systemic contagious, infective and parasitic disease at the time of slaughter.

6. The beef or beef products were produced in accordance with the FSIS National Residue Program, which ensures that the product is free of harmful residues.

7. The United States has been evaluated and classified by the World Organization for Animal Health (OIE) as a country with a negligible-risk status for bovine spongiform encephalopathy (BSE).

Signature of FSIS Veterinarian: ______________________________________

Printed Name: ______________________________________________________

Title/Professional Degree: ___________________________________________

Certificate Edition (06/17/2014)
FSIS Form 2630-9 (6/86)

EQUAL OPPORTUNITY IN EMPLOYMENT AND SERVICES

A5. Department of Commerce’s Export Health Certificate for Seafood Products
**EXPORT HEALTH CERTIFICATE**

This certificate is issued to:-

**CERTIFICATE NO.** 58H0002012

**DATE:** October 17, 2014

**ISSUING OFFICE:**
SE Inspection Branch

**EXPORTED BY:**

**CONSIGNMENT TO:**

**CERTIFIED VIA:**

**Vessel:**

**IDENTIFYING MARKS:**

**Sected Cuts and Alligator Meat**

<table>
<thead>
<tr>
<th>PRODUCT</th>
<th>CLASS</th>
<th>TYPE</th>
<th>STYLE</th>
<th>LOT NO &amp; CODE</th>
<th>CONTAINER SIZE</th>
<th>NO. CONTAINERS</th>
<th>LOT WEIGHT</th>
<th>LABEL BRAND</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alligator Meat</td>
<td>Fresh</td>
<td>Whole</td>
<td>Raw</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>Alligator Meat</td>
<td>Fresh</td>
<td>Whole</td>
<td>Raw</td>
<td>3</td>
<td>3</td>
<td>3</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Alligator Meat</td>
<td>Fresh</td>
<td>Whole</td>
<td>Raw</td>
<td>4</td>
<td>4</td>
<td>4</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>Alligator Meat</td>
<td>Fresh</td>
<td>Whole</td>
<td>Raw</td>
<td>5</td>
<td>5</td>
<td>5</td>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

**PORT OF EMBARKATION**
New Orleans

**PORT OF DESTINATION**
Hong Kong

**TOTAL CONTAINERS**

**TOTAL MARKED WEIGHT**

**REMARKS:**

Each container held 2 vacuum packages 25 lb. per case. Each individual box held 50 lbs of alligator meat. Each individual box has been marked "Officially Sampled".

**OFFICIALLY SAMPLED**

**OCT 17 2014**

**U.S. DEPARTMENT OF COMMERCE**

**SIGNATURE OF INSPECTOR:**

**PLEASE REFER TO THIS CERTIFICATE BY NUMBER AND DATE.**

[Image of a certificate]

A7. U.S. Fish and Wildlife Service – Declaration for Importation or Exportation of Fish or Wildlife
<table>
<thead>
<tr>
<th>QUANTITY</th>
<th>SCIENTIFIC NAME</th>
<th>COMMON NAME</th>
<th>DESCRIPTION</th>
<th>DOMESTIC VALUE</th>
<th>COUNTRY OF ORIGIN</th>
</tr>
</thead>
<tbody>
<tr>
<td>2,500</td>
<td>Cervus Elaphus Nelsoni</td>
<td>Rocky Mountain Elk</td>
<td>Tails, Fresh Frozen</td>
<td>11,250.00</td>
<td>USA</td>
</tr>
</tbody>
</table>

U.S. License and/or Permit No.: 734917

Foreign License and/or Permit No.:  
Country:  
Number:  

I certify the information contained herein is true and complete to the best of my knowledge and belief.

[For Official Use Only]

SEE REVERSE OF THIS FORM FOR INSTRUCTIONS AND PRIVACY ACT NOTICE

A8. Cites Export Permit
STATEMENT OF LICENSURE AND CERTIFICATION

<table>
<thead>
<tr>
<th>EXPORTED BY:</th>
<th>CERT #</th>
<th>CONSIGN TO:</th>
<th>FINAL DESTINATION</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>WA-1276-SS</td>
<td></td>
<td>Hong Kong</td>
</tr>
<tr>
<td>UNITED STATES</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>For Order of Shipper</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>SHIPPED VIA:</th>
<th>PORT OF EMBARKATION</th>
<th>PORT OF DEBARKATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air</td>
<td>Seattle, Washington</td>
<td>Hong Kong</td>
</tr>
</tbody>
</table>

IDENTIFYING MARKS

<table>
<thead>
<tr>
<th>OYSTERS</th>
<th>TOTAL CONTAINERS</th>
<th>TOTAL MARKED WEIGHT</th>
</tr>
</thead>
<tbody>
<tr>
<td>12 Boxes</td>
<td>632 Lb</td>
<td>632 Lb</td>
</tr>
</tbody>
</table>

PRODUCT | CLASS, TYPE, STYLE | COUNT LOT WEIGHT | LABELS/BRAND |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>OYSTERS</td>
<td>Fresh</td>
<td>12 boxes = 632 Lb</td>
<td></td>
</tr>
</tbody>
</table>

The above named exporter hereby certifies through its undersigned authorized agent that this product was harvested from the following growing area(s) which was/were open for commercial harvest on the date(s) indicated and that the product has not been treated with, chemical preservatives or other additives injurious to health.

<table>
<thead>
<tr>
<th>PRODUCT</th>
<th>GROWING AREA</th>
<th>HARVESTER CERT #</th>
<th>DATE OF HARVEST</th>
</tr>
</thead>
<tbody>
<tr>
<td>OYSTERS</td>
<td>Reid Inlet</td>
<td>WA-1276-SS</td>
<td>06/27/2005</td>
</tr>
</tbody>
</table>

SIGNATURE OF AUTHORIZED AGENT: ______________________ Date: 6/27/05

The facility/licensee named herein has been inspected by the Washington Department of Health and found to be in compliance with the laws of the State of Washington and with guidelines established by the U.S. Food and Drug Administration to assure that shellfish products are handled in a sanitary and hygienic manner. Goods processed by this facility/licensee are freely sold in the State of Washington for human consumption.

BY: ______________________ Date: Monday, June 27, 2005

Office of Food Processing Safety and Health
A11. Certificate of Free Sale (for dairy and frozen confections)
To Whom It May Concern:

RE: Certificate of Free Sale and Sanitation

The Dairy Section of the Vermont Agency of Agriculture, Food & Markets, a governmental agency responsible for the licensing and inspection of all dairy operations in the State of Vermont, hereby certifies that

operates a dairy manufacturing establishment, producing both dairy and non-dairy frozen confections, which is licensed by the Vermont Agency of Agriculture, Food & Markets and is inspected by inspectors employed by the State of Vermont.

We certify that the facilities, equipment and raw materials and the processing and packaging procedures meet with all of the sanitary requirements of the State of Vermont and that the operations are in good standing in every respect.

We further certify that the products manufactured by

at their manufacturing plants in

are fit for human consumption and are freely

without qualification sold in the State of Vermont and the United

Sincerely,

Byron D. Moyer, Chief
Dairy Section

Subscribed and sworn to before
me this 1st day of May, 2009

Notary Public, Washington County, Vermont
My Commission Expires February 10, 2011

The State of Vermont is an Equal Opportunity / Affirmative Action Employer and Provider

A12. Certificate of Analysis (for dairy and frozen confections)
### Certificate of Analysis

<table>
<thead>
<tr>
<th>Reference Number</th>
<th>Description</th>
<th>Supplier Code</th>
<th>Exp. Date</th>
<th>Production Code</th>
<th>Compliance Code</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>A13.1</td>
<td>Health Certificate for Ice Cream Products</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

We certify that these products have been handled, processed and packaged under hygienic conditions and have been heat treated in accordance with the heat treatment regulations of the USA (at least 70 degrees Celsius for at least 15 seconds). The product is fit for human consumption.

**Note:** Frozen Yogurt SPC is <60.000 excluding Yogurt Cultures, i.e. Lactobacillus.
A13.2 Health Certificate Sample for Ice Cream Products

---

**HEALTH CERTIFICATE**

Re: Brand Product from U.S.A.

**DESCRIPTION OF PRODUCT:**
Type of Product:
- Cappuccino Frozen Cocktail Mix
- Non-Fat Frozen Yogurt

Contents of Shipment:
- Slight and Check on 2/21/05 by

<table>
<thead>
<tr>
<th>Quantity</th>
<th>Product</th>
<th>Date of Manufacture</th>
</tr>
</thead>
<tbody>
<tr>
<td>30 Cases</td>
<td>Vanilla Ice Cream Base</td>
<td>9/23/2004</td>
</tr>
<tr>
<td>20 Cases</td>
<td>Cappuccino Frozen Cocktail Mix</td>
<td>8/13/2004</td>
</tr>
<tr>
<td>15 Cases</td>
<td>Non-Fat Frozen Yogurt</td>
<td>10/24/2004</td>
</tr>
</tbody>
</table>

**PACKAGING / CARTONS RECEIVING THE FOLLOWING MARKINGS:**

**MANUFACTURER:**

**CONSIGNEE:**

**CONSIGNOR:**

---
A14. Phytosanitary Certificate issued by APHIS
**Phytosanitary Certificate**

**TO:** THE PLANT PROTECTION ORGANIZATIONS OF

Hong Kong

**CERTIFICATION**

This is to certify that the plants, plant product, or other regulated articles declared herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests, specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party including those for regulated non-quarantine pests.

### Administration and/or Disinfection Treatment

<table>
<thead>
<tr>
<th>Date</th>
<th>Treatment</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Description of the Consignment

<table>
<thead>
<tr>
<th>Name and Address of the Exporter</th>
<th>Declared Name and Address of the Consignee</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of Product and Quantity Declared</th>
<th>Botanical Name of Plants</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.51 pounds of squash seed</td>
<td>Cucurbita pepo</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Package and Description of Product</th>
<th>Declared Means of Conveyance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foil packets in 1 cardboard box</td>
<td>DHL, Air mail</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Place of Origin</th>
<th>Declared Port of Entry</th>
</tr>
</thead>
<tbody>
<tr>
<td>California, USA</td>
<td>Unknown</td>
</tr>
</tbody>
</table>

**WARNING:** Any violation, forgery, or unauthorized use of this phytosanitary certificate is subject to penalties of up to $500,000 (7 U.S.C. Section 772 (a)(2)) or imprisonment by a fine of not more than $10,000, or imprisonment of not more than 5 years, or both (18 U.S.C. Section 1078).

### Additional Declaration

<table>
<thead>
<tr>
<th>Date Issued</th>
<th>Name of Authorized Officer (Title or Position)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Joyce Venninski</td>
</tr>
</tbody>
</table>

No liability shall attach to the United States Department of Agriculture or to any officer or representative of the Organization with respect to this certificate.
A15. CITES Export Permit – Sample for Ginseng Shipment

A16. Export Report by APHIS (ginseng)
## Categories of Ginseng

<table>
<thead>
<tr>
<th>Origin</th>
<th>Wild</th>
<th>Wild simulated</th>
<th>ARTIFICIALLY PROPAGATED</th>
<th>Cultivated</th>
<th>Cultivated Woodgrown</th>
</tr>
</thead>
<tbody>
<tr>
<td>Naturally occurring</td>
<td>Seeds or roots planted in natural habitat</td>
<td>Cultivated seed or roots</td>
<td>Grown in fields</td>
<td>Grown in woods similar to natural habitat</td>
<td></td>
</tr>
<tr>
<td>Within natural range, in suitable ginseng habitat</td>
<td>Within natural range, in suitable ginseng habitat</td>
<td>Intensive</td>
<td>When planted, large rocks removed and drainage ditches may be dug around the beds</td>
<td>Extensive</td>
<td></td>
</tr>
<tr>
<td>None</td>
<td>Planting of seeds or roots only</td>
<td></td>
<td></td>
<td>Extensive</td>
<td></td>
</tr>
<tr>
<td>None</td>
<td>None</td>
<td></td>
<td></td>
<td>Extensive</td>
<td></td>
</tr>
<tr>
<td>Dug by hand</td>
<td>Dug by hand</td>
<td></td>
<td>Often dug by mechanical means</td>
<td>Dug by hand</td>
<td></td>
</tr>
</tbody>
</table>

## Cultivated Ginseng Export Report

*NOTE: Permits must supply the following information and attach original State Certificates for the ginseng in this shipment.*

### States Authorized for Cultivated Ginseng Export:

<table>
<thead>
<tr>
<th>State</th>
<th>Cultivated</th>
<th>Cultivated Woodgrown</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alabama</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Arkansas</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Georgia</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Idaho</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Illinois</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Indiana</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Iowa</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kentucky</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Maine</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Maryland</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Michigan</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minnesota</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Missouri</td>
<td></td>
<td></td>
</tr>
<tr>
<td>New York</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N. Carolina</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N. Dakota</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ohio</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Oregon</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pennsylvania</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tennessee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vermont</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Virginia</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Washington</td>
<td></td>
<td></td>
</tr>
<tr>
<td>West Virginia</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wisconsin</td>
<td>4,817.6</td>
<td></td>
</tr>
</tbody>
</table>

**Total Weight of this Shipment** 4,817.6 lbs.

**PORT OF EXPORT** __________________________________________

**DATE OF EXPORT** __________________________________________

**CONSIGNEE** __________________________________________

**Cons: Hong Kong, China**

**U.S. PERMIT #** 04US813

**SHIPMENT #** 6 (start with # 1 each year)

**Endorsement:**

*Signature of APHIS/PPQ Inspector*

**USDA APHIS PPQ**

**WILLIAM M. WITKUST**

**DATE** 11/18/2001

**INSTITUTE**

(USDA APHIS/PPQ Stenl)

**Revised 10-22-99**
A17. Preserved/Stuffed Animal Specimen

**Importation/transshipment of preserved/stuffed animal specimen to/via Hong Kong**

Hong Kong has no objection to the importation/transshipment of preserved/stuffed animal specimen to/via Hong Kong. No import permit is required for such importation. However, it is recommended that the consignment is accompanied by a veterinary certificate certifying the following, issued/endorsed by the relevant official veterinary authority of the Government in the country of export.

1. The animal carcass(es) was/were derived from animals free from infectious diseases and in accordance with the standards as laid down by the World Organization for Animal Health (OIE).
2. The animal carcass(es) was/were properly treated or processed to kill any infectious or contagious organisms in the carcass(es).
3. For transshipments, prior approval is obtained from the veterinary authority in the country of import for the importation of preserved/stuffed animal specimen.
4. The preserved/stuffed animal specimen(s) was/were packed and sealed to prevent leakage in accordance with the International Air Transport Association (IATA) requirements.

If the specimen(s) was/were derived from endangered species, the specimen(s) must be accompanied by a valid CITES permit issued by the CITES Management Authority of the exporting country/place. For more information, please visit our website at http://www.cites.org.hk or contact the Endangered Species Protection Division at 2150 6973.

You are also reminded to seek the approval of the Port Health Office, Department of Health, Hong Kong for the importation of preserved/stuffed animal specimen. (Tel.: 3904 9333; email: port-ho@dh.gov.hk)

A18. Biological Products of Animal Origin

**Importation/transshipment of biological products of animal origin into/via Hong Kong**
Hong Kong has no objection to the importation/transshipments of biological products into/via Hong Kong. No import permit will be issued by this Department for such purpose. However, it is recommended that the following requirements can be complied with.

1. The biological products were derived from animals free from infectious diseases and in accordance with the standards as laid down by the World Organization for Animal Health (OIE).

2. The consignment is accompanied by a veterinary certificate issued/endorsed by the relevant government veterinary authority in the country of export.

3. For transshipments, prior approval is obtained from the veterinary authority in the country of import for the importation of biological products.

4. The biological products were packed and sealed to prevent leakage in accordance with the International Air Transport Association (IATA) requirements.

The veterinary certificate should be sent to this Department at the following address either in person or by post within 2 weeks after the products arrived in Hong Kong. Should the original copy of the certificate wish to be retained by the importer, please present the original certificate in person to the following address during office hours so that the certified copy can be recorded.

5th Floor (Counter 9)
Permit and Certification Unit
Agriculture, Fisheries and Conservation Department
Cheung Sha Wan Government Offices,
303 Cheung Sha Wan Road, Kowloon
Hong Kong

You are also reminded to seek the approval of the Port Health Office, Department of Health, Hong Kong for the importation of biological products. (Tel.: 3904 9333; email: port-ho@dh.gov.hk)

A19. Hides and Skins of Cattle Origin to Hong Kong

**IMPORTATION OF ANIMAL PRODUCTS FOR NON-FOOD PURPOSES (EXCEPT PRODUCTS FROM DOGS, CATS AND ENDANGERED SPECIES) TO HONG KONG**
At present Agriculture, Fisheries and Conservation Department does not have any specific requirements for the importation of animal products for non-food purposes except for animal products from dogs, cats and endangered species animals. Thus NO import permit is required for the importation of animal products for non-food purposes, this includes hides and skins of animals, besides those exceptions already mentioned.

In order to facilitate the re-export of these products out of Hong Kong in future, we suggest a sanitary certificate accompanied with the consignment containing hides and skins of animals upon arriving in Hong Kong. It is recommended that this sanitary certificate is issued/endorsed by the relevant official veterinary authority of the exporting country’s government and should certify the information below.

“The hides or skins originated from animals that were slaughtered at licensed slaughterhouses and underwent ante-mortem and post-mortem inspection. The animals were found to be free of clinical signs of infectious diseases (transmissible through hides or skins) to humans or animals, and were not killed in order to eradicate a disease epidemic.”

Regarding the importation of animal products for food purposes, you may call the Centre of Food Safety (CFS) of Food and Environmental Hygiene Department (FEHD) at (+852)1823 or visit its website http://www.cfs.gov.hk for details.

A20. Feathers

IMPORTATION OF FEATHERS TO HONG KONG

It is recommended that sanitary certificate issued by the competent Government veterinary authority of
the country of export certifying the following is to be accompanied with feathers importing to Hong Kong.

1. *The feathers were derived from birds that were not within an area that was under any restrictions imposed by the national veterinary authority for the control of avian influenza;*

2. *The feathers were treated by one of the following methods:*
   a. *washed thoroughly in detergent followed by boiling or steam sterilization at a core temperature of at least 100 °C for a minimum of 30 minutes; or*
   b. *washed thoroughly in detergent followed by formaldehyde fumigation (10% formalin) for 4 hours; and*

3. *Assurance that the treated feathers could not be contaminated by raw materials or by equipment or personnel in contact with raw materials between processing and export.*

---

**A 21. Pet Food**

**Importation/transhipment of pet food/animal feed to/via Hong Kong**

Hong Kong has no objection to the importation/transshipment of pet food/animal feed to/via Hong Kong. No import permit will be issued by this Department for such purpose. However, if the products
contain materials derived from ruminant and/or poultry origin, it is recommended that a veterinary
certificate issued/endorsed by the relevant official veterinary authority of the Government in the country
of export is required to accompany with the pet food/animal feed importing into Hong Kong to facilitate
trade and re-export. Please find below some relevant certifications for the veterinary certificate for your
information.

1. The ruminant animals from which the certified materials were derived were subjected to anti-
mortem examination (inspected prior to slaughter), and were not found to show any evidence or
clinical signs of any disease transmissible by the certified materials. These animals did not show
any clinical signs of generalized central nervous system disease.

2. The feeding of ruminants with meat-and-bone meal and greaves derived from ruminants is
banned in the country of production, and this ban is effectively enforced.

3. The products of ruminant origin were derived from animals slaughtered at licensed premises.

4. The certified materials of ruminant origin were not derived from downer animals.

5. Any component of the certified material of bovine origin were not derived from the following
Specified Risk Materials from any cattle in any Bovine Spongiform Encephalitis (BSE) affected
country; the brain skull, eyes, trigeminal ganglia, spinal cord, vertebral column (excluding the
vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae, and the wings
of the sacrum), and dorsal root ganglia (DRG) of cattle 30 months of age and older, and the
tonsils and distal ileum of the small intestine of any cattle regardless of age.

6. The certified materials of ruminant origin do not include any components of any carcass that was
rejected during post-mortem examination as unfit for human consumption.

7. If the product includes materials derived from poultry origin, the product has been treated to
ensure the destruction of Avian Influenza virus by one of the following methods.

- 70°C for at least 30 minutes
- 75°C for at least 5 minutes
- 80°C for at least 1 minute

8. The packages have been clearly labeled as “for pet food only” or “dog food” or “cat food” or
“not for human consumption”.

9. The product does not contain any prohibited chemical. In the case of the product contains with
any specified agricultural and veterinary chemical, it is by law that all information must be
clearly displayed on the package, such as the amount of each chemical, instruction for use, the
withholding period and the name and address of the importer. (For details, please visit
http://www.legislation.gov.hk/eng/home.htm and refer to Sections 12 and 13 of Cap 139 sub.
Leg. N Public Health (Animals And Birds) (Chemical Residues) Regulation, Laws of Hong
Kong).
A22.1 Certificate Sample for Eggs (not yet finalized)
**A22.2 Certificate Sample for Eggs (not yet finalized)**

### USDA Grading Certificate

- **Place Produced**: Little Rock, AR
- **Place Dressed**: Little Rock, AR
- **Shipment Information**
  - **ABC Ranch**: Route 2, Little Rock, AR 722419
  - **Egg Marketers**: P.O. Box 123, New Town, GA 31202
  - **Hong Kong Importers Inc**: 25 Pick St, Hong Kong

### Listing 1

<table>
<thead>
<tr>
<th>Listing</th>
<th>FDOE</th>
<th>Type of Packing</th>
<th>Type of Container</th>
<th>U.S. Official Grade and Size</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>750</td>
<td>White</td>
<td>New 16 Dozen/108 Egg Carton</td>
<td>US Grade A Large</td>
</tr>
</tbody>
</table>

### Additional Information

- **Date of Production**: December 05, 06, 07, 2007
- **Type of Poultry**: Chicken (Gallus Domesticus)
- **Name of Processing Plant**: ABC Ranch
- **Address of Processing Plant**: Route 2, Little Rock, AR 722419

### Certification

> In accordance with the provisions of the Secretary of Agriculture Governing the Grading of Shell Eggs, the following is an Authentic US Grading Certificate for the stated grade and quality as determined by official sampling on December 05, 06, 07, 2007.

---

**Signature**

**Date**: December 10, 2007

---

**OFFICIAL: Signature**

**Dec. 10, 2007**

---

**Rep. by signature or countersign**
**Shell Egg Grading Certificate**

**Certificate No.:** 101901

**Place Examined:**
- **ABC Ranch**
  - Route 2
  - Post Office Box 123
  - Little Creek, AR 72420

**New Grade:**
- **New Grade:** A

**New Type of Marking:**
- **New Type of Marking:** Paper Tape

**New Filler Plate:**
- **New Filler Plate:** 10%

**White Shell:**
- **White Shell:** 10%

**White Shell Temperature:**
- **White Shell Temperature:** 10°F

**White Shell Age:**
- **White Shell Age:** 10 days

**Cooler at 40°F:**
- **Cooler at 40°F:** USDA Grade A

**US Grade A Large:**

### Certificate

- **Certificate No.:** 101901

**Additional Information:**
- **US Grade A Large**

**Date:** April 11, 2008

**Certification Statement:**

> In compliance with the regulations of the Secretary of Agriculture governing the grading of shell eggs, this certificate is issued pursuant to the Agricultural Marketing Act of 1949, as amended, and any other law of Congress concerning the matter, it is ordered that the products hereon described be sold for the purpose of the test and that the dates, quality, quantity, and conditions hereon mentioned are true.

**Certifier:**

**Signature:**

**Date:** April 11, 2008

---

**Conditions of Movement:**
- **Conditions of Movement:**
  - **Condition:** A
  - **Condition:** B
  - **Condition:** C
  - **Condition:** D
  - **Condition:** E

**License No.:** 12345

**Issued By:** USDA

**Grade:** A

**Inspection:**
- **Inspection:** 100%

**Processing Plant:** ABC Ranch

**Processing Plant Address:** Route 2, Little Creek, AR 72420

**Establishment Number:** P-1112

**Seal No.:** X-000000

---

**Note:**
- **Note:** The poultry eggs included with this shipment are fit for human consumption.
A22.3 Certificate Sample for Eggs (not yet finalized)

Disease Free Certification Statements for Hong Kong


"The U.S. Department of Agriculture, Animal and Plant Health Inspection Service, Veterinary Services certifies that:

No cases of notifiable avian influenza (NAI), including the highly pathogenic subtype H5N1, and no cases of velogenic Newcastle disease (VND) have been reported in commercial laying chicken flocks in the county(ies) of origin where the eggs originated for the 3 months preceding departure of this consignment. These eggs were produced in the county(ies) in the state of Arkansas identified below:

Pulaski County

________________________________________

The shell eggs covered by this certificate were produced by birds originating from Salmonella enteritidis (SE)-certified breeding flocks which have not been identified within 90 days before shipment by the U.S. Department of Agriculture, Animal and Plant Health Inspection Service, as being infected with SE, including SE phage type 4."

Signature of Grader

Stamp Impression

A23 Certificate Sample for Pasteurized Eggs, Powdered and Liquid Egg Products (not yet finalized)
**U.S. DEPARTMENT OF AGRICULTURE**
**FOOD SAFETY AND INSPECTION SERVICE**
**FIELD OPERATIONS**
**Egg Products Export Certificate of Wholesomeness**

---

**DISTRICT OFFICE**
**COUNTRY OF DESTINATION**

---

**SAMPLE**
**PRODUCT EXPORTED**

---

**SAMPLE**
**EST. PLANT NUMBER of applicant**

---

**SAMPLE**
**CITY**

---

**SAMPLE**
**INCREASE**

---

**SAMPLE**
**PROCESSING PLANT**

---

**SAMPLE**
**OTHER (please specify)**

---

**TOTAL MARKED NET WEIGHT**
**TOTAL CONTAINERS**

---

**SAMPLE**
**PRODUCT AS LABELED**

---

**SAMPLE**
**MARKED WEIGHT OF LOT**

---

**SAMPLE**
**NUMBER OF PACKAGES IN LOT**

---

**SAMPLE**
**SHIPPING MARKS / LOT NUMBER**

---

**SAMPLE**
**EST. PLANT NUMBER on PRODUCT**

---

**SAMPLE**

---

**REMARKS**

---

**SAMPLE**

---

**SAMPLE**

---

**I CERTIFY that the egg products specified on this form have been inspected and passed in accordance with the applicable laws and regulations of the United States Department of Agriculture and are wholesome and fit for human consumption.**

---

**SAMPLE**

---

**NOT VALID UNLESS SIGNED BY A FSA INSPECTOR**

---

**SAMPLE**

---

**INSPCTION AND DISTRICT**

---

**SAMPLE**

---

This certificate is receivable in all courts of the United States as prima facie evidence of the facts stated herein contained.

---

This certificate does not excuse failure to comply with any of the regulatory laws enforced by the United States Department of Agriculture.

---

**FSA FORM 1020-SEP (Edition date - 08/13/2016)**

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**ORIGINAL**