Canada

Food and Agricultural Import Regulations and Standards – Export Certification

FAIRS Export Certificate Report

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Report Highlights:
The report identifies export certificates issued by U.S. government authorities to comply with Canadian import standards and regulations or to attest those products subject to U.S. government regulation. This report serves as a supplement to the Food and Agricultural Importation Regulation Narrative Report (FAIRS/CA16058). Updates, including new hyperlinks and up-to-date contact information, were made to the report and electronic copies of certificates serve to help U.S. exporters meet Canadian importing requirements.
Contents

Table A. List of All Export Certificates Required By Government:

Section I. Purpose and Type of Export Certificate(s)
   A. All Products: NAFTA Certificate of Origin
   B. Meat, Poultry, and Processed Egg Products
   C. Bison – USDA Export Verification
   D. Pet Foods – Veterinary Export Certificate
   E. Ready-to-Eat Products- Export Verification
   F. Fresh Fruit and Vegetable – Inspection Certificate
   H. Plant and Plant Products – Phytosanitary Certificate

Section II. Other Export Certification/Accreditation Requirements
   A. NOAA Inspection Certificate
   B. Products Regulated by the Food and Drug Administration
   C. Organic

Section III. Specific Attestations Required on Export Certificate(s)
   Table B. U.S. Contact Information:

Appendix I. Example of Certificate Forms

Glossary of Acronyms
Executive Summary

This report identifies export certificates issued by recognized U.S. government authorities to comply with Canadian import standards and regulations or to attest that the product is subject to U.S. government regulation. It is an update to the Food and Agricultural Importation Regulation Report (FAIRS) Export Certificate Narrative Report (CA16058). This is not intended as a compendium of Canadian import requirements but rather as a supplement to the Food and Agricultural Import Regulations and Standards Narrative Report (FAIRS) submitted in December 2016. It was prepared by the Office of Agricultural Affairs of the USDA/Foreign Agricultural Service in Ottawa, Canada for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, information provided may not be completely accurate either because requirements have changed since this report’s preparation, or because clear and consistent information about these requirements were not available. It is strongly recommended that U.S. exporters verify the full set of import requirements at:

**Automated Import Reference System (AIRS) of the Canadian Food Inspection Agency**


U.S. companies may consult with their Canadian customers to ensure the product meets complete compliancy. FINAL IMPORT APPROVAL OF ANY PRODUCT IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

Table A. List of All Export Certificates Required By Government:

<table>
<thead>
<tr>
<th>Product(s)</th>
<th>Name of Certificate</th>
<th>Purpose/Attestation</th>
<th>Issuing Authority</th>
<th>Authority Requiring Certificate</th>
<th>Legal Reference</th>
<th>Electronic Copy Attached</th>
</tr>
</thead>
<tbody>
<tr>
<td>All</td>
<td>NAFTA Certificate of Origin</td>
<td>To claim preferential tariff treatment</td>
<td>Bureau of Customs and Border Protection</td>
<td>Canada Border Service Agency (CBSA)</td>
<td>NAFTA</td>
<td>YES</td>
</tr>
<tr>
<td></td>
<td>CBP, form 434</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Meat and Poultry</td>
<td>Certificate for Export of Meat and Poultry Products (Form 9135-3 and 9135-3A)</td>
<td>Product Safety and Wholesomeness</td>
<td>Food Safety and Inspection Service Food Safety and Inspection Service (FSIS)</td>
<td>Canadian Food Inspection Agency (CFIA)</td>
<td>Meat Inspection Regulations</td>
<td>YES</td>
</tr>
<tr>
<td>Eggs</td>
<td>Export Certification FSIS Form 9060-5EP</td>
<td>Wholesomeness</td>
<td>FSIS</td>
<td>CFIA</td>
<td>Egg Regulations</td>
<td>NO</td>
</tr>
<tr>
<td>Bison</td>
<td>USDA Beef Export</td>
<td>To attest to eligibility to</td>
<td>Agricultural Marketing</td>
<td>CFIA</td>
<td>Meat Inspection</td>
<td>NO</td>
</tr>
<tr>
<td>(Buffalo)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Product(s)</td>
<td>Name of Certificate</td>
<td>Purpose/Attestation</td>
<td>Issuing Authority</td>
<td>Authority Requiring Certificate</td>
<td>Legal Reference</td>
<td>Electronic Copy Attached</td>
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</tr>
<tr>
<td>Pet Food</td>
<td>Zoosanitary Certificate</td>
<td>U.S. origin and food safety</td>
<td>Animal and Plant Health Inspection Service (APHIS)</td>
<td>CFIA</td>
<td>Health of Animals Act</td>
<td>YES</td>
</tr>
<tr>
<td>Fresh Fruits and Vegetables</td>
<td>FV-205 Certificate of Inspection for Canadian Destinations</td>
<td>Grading and Quality</td>
<td>AMS</td>
<td>CFIA</td>
<td>Fresh Fruit and Vegetable Regulations</td>
<td>YES</td>
</tr>
<tr>
<td>Live Animals, Honeybees</td>
<td>Animal Health Export Certificate VS Form 17-140</td>
<td>Animal Health</td>
<td>APHIS</td>
<td>CFIA</td>
<td>Animal Health Regulations</td>
<td>NO</td>
</tr>
<tr>
<td>Plant and Plant Products</td>
<td>Phytosanitary Certificate PPQ Form 572</td>
<td>Plant Health, Freedom from Pests</td>
<td>APHIS</td>
<td>CFIA</td>
<td>Plant Health Regulations</td>
<td>YES</td>
</tr>
<tr>
<td>Fish and Seafood</td>
<td>National Oceanic and Atmospheric Administration (NOAA) Inspection Certificate</td>
<td>Vessel And Plant Sanitation, Product Inspection, Grading, Safety And Wholesomeness</td>
<td>NOAA</td>
<td>None</td>
<td>Voluntary</td>
<td>NO</td>
</tr>
<tr>
<td>Other Foods</td>
<td>U.S. Food Drug Agency (FDA) Certificate of Export</td>
<td>The Food is Regulated by FDA</td>
<td>FDA</td>
<td>Not a requirement of entry</td>
<td>N/A</td>
<td>NO</td>
</tr>
</tbody>
</table>

**Section I. Purpose and Type of Export Certificate(s)**

**A. All Products: NAFTA Certificate of Origin**

The North American Free Trade Agreement (NAFTA) is a trilateral agreement among Canada, Mexico, and the United States whereby a number of products with some exceptions enter into Canada duty free. To obtain this preferential treatment under this accord, shipments must include the NAFTA form that helps certify the goods. All exporters are to complete a Certificate of Origin (CBP Form 434) that can be obtained at the U.S. Customs and Border Protection website: [http://www.cbp.gov/sites/default/files/documents/CBP%20Form%20434_3.pdf](http://www.cbp.gov/sites/default/files/documents/CBP%20Form%20434_3.pdf)
To complete a NAFTA form, the U.S. exporter will need to know their Harmonized System (HS) tariff classification number on their product for the NAFTA form. The HS codes are developed by the World Customs Organization (WCO), an International body responsible for establishing and maintaining these product classifications. Every five years they are updated according to the patterns of trade and technology. Both Canada and the U.S. are among 200 countries that are signatories to this Convention. There are some significant changes coming into effect on January 1, 2017 that will impact previous designated codes on agricultural and food products. All exporters are urged to review their classifications to avoid future confusion at the Canada Border Services Agency:

**Customs Tariff 2017**


The Department of Commerce offers a step-by-step tool to look up HS codes to Canada and other foreign countries. This tool can be accessed at http://export.customsinfo.com/. Once a firm is registered online, companies may search their HS codes by typing in the country of export and identifying the initial tariff heading or tariff description. The user, then can drill down the classification to 10 digits by hitting calculate under the tab heading - Duties and Taxes. The complete set of codes and tariff treatment will be outlined. Those products qualifying for NAFTA are listed as: **Tariff Treatment 3: United States of America Rate.**

*Note:* A NAFTA Certificate of Origin is not required on shipments less than US$1,000. The Certificate need not accompany the shipment but is to be sent to the importer who then is expected to retain a copy on file for up to five years.

✔️ **Tip:** Exporters are urged to write in the complete set of the 10 digit HS code for Canada on their customs documents as this will avoid shipment delays.

**B. Meat, Poultry and Processed Egg Products**

The USDA’s Food Safety and Inspection Service (FSIS) is responsible for certification of meat, poultry and processed egg products. New exporters of these products are urged to review these requirements and at:

*Exporting Shipment Requirements to Canada*

http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting-products/export-library-requirements-by-country/Canada

This page contains information on eligible and ineligible products for certification, and slaughter, processing, labelling and marking, all documentation requirements.

**B1. Meat and Poultry**

FSIS Forms 9135-3/9135-3A, Certificate for Export of Meat and Poultry Products to Canada certifies that U.S. meat and poultry exports to Canada meet the eligibility, safety and wholesomeness requirements laid out in the Canadian Meat Inspection regulations for imports of meat and poultry from
the United States. Additional information about procedures for exporting meat and poultry to Canada can be obtained at the following web link:

*Export Requirements for Canada – Meat, Natural Casings, and Poultry*
http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting-products/export-library-requirements-by-country/Canada-Special

*Instructions on Completing the FSIS 9135-3 / 9135-3A*

*Note:* These forms must be signed by an official Veterinarian.

**Tip:** U.S. exporters are encouraged to ensure all meat export certificate numbers are the same on the paperwork to the labels adhered to the individual cartons.

**B2. Meat and Poultry Label Verification**

As of May 1, 2013, the CFIA closed down the label pre-registration office. These responsibilities have been assumed by FSIS to help ensure compliancy among all U.S. exporters. Products containing 2% or more meat/poultry ingredients are to submit labels that ‘deviate,’ from U.S. domestic requirements. ¹ Those products eligible for generic approval are those items limited to two ‘deviations,’ from the U.S. label; i) the common name is in English and French and ii) the net quantity is expressed in metric units. ² Under these circumstances the U.S. processor is expected to meet all compliancy and need not submit labels to FSIS. Exporters must ensure all submissions meet all Canadian labelling requirements:

Please review all Canadian labelling requirements by searching half way through the page under:

*Labelling Requirements (Submission to FSIS)*
http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting-products/export-library-requirements-by-country/Canada

As of December 14, 2016, the Canadian Government announced amendments to the format of the Nutrition Facts and listing of ingredients. Food companies will have a period of five years to come into compliance prior to enforcement. To review these changes go to:


FSIS’s processing times may vary from two to six weeks. The Labelling and Program Delivery Staff (LPDS) recommends U.S. exporters obtain a e-authentication account and process their applications online at:

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¹ Deviations as defined by FSIS are those components on a Canadian label not permitted or used for the U.S. market, such as bilingual labelling, net quantities expressed in metric units, nutrition facts, specific claims related to natural, gluten free, ethnic food certification, health claims, etc.

² If the French translation appears first and then the English translation is secondary in the common name, then the label must be submitted to FSIS.
**Labelling Submission and Approval System (LSAS)**

For additional information please contact LPDS at 1-800-233-3935.

✓ **Tip:** FSIS offers a Do’s and Don’t when completing the necessary forms at:

**B3. Eggs and Processed Egg Products**

Eligible egg products for exports can vary from state to state and U.S. producers are urged to review these requirements at:

*Export Egg Requirements for Canada*

As of August of 2015, FSIS inspectors now issue an Egg Products Export Certificate of Wholesomeness (FSIS Form 9060-5EP) on each export shipment.

*Requirements for Exporting Processed Egg Products*
http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting-products/requirements-for-processed-egg-products

For those processed products containing small amounts of egg products, such as imitation egg products, frozen egg patties, and frozen omelets may choose to participate in the voluntary Processed Egg and Egg Products Export Verification Program has been established. This program verifies the product is wholesome for export. A letter of agreement between the Foreign Agricultural Service and the Office of International Affairs of the FDA provides USDA’s Agricultural Marketing Service (AMS) to conduct onsite verification and issue export certificates.

*Voluntary Export Certification Requirements or Processed Eggs*

For any shipments previously FDA-regulated containing eggs may obtain a replacement certificate by contacting FSIS headquarters at 1-855-444-9904 or by e-mail at importexport@fsis.usda.gov.

**C. Bison - USDA Export Verification (EV) Program**

Meat and meat products derived from bison or buffalo are eligible for export to Canada. However, Canada applies the same BSE requirements for these products as applied for beef and beef products. Since U.S. BSE regulations do not apply to bison or buffalo, meat and meat products derived from these species must be raised under an approved Agriculture Marketing Service (AMS) EV program.
Only those suppliers meeting the requirements of an approved USDA Quality System Assessment (QSA) Program are eligible to export specified products to Canada. Additional information about the EV program and a list of EV approved establishments can be obtained from the following websites:

Specified Product Requirements for Bison - Canada
http://www.ams.usda.gov/sites/default/files/media/Canada_and_EU_Bison%5B1%5D.pdf

Official Listing of Eligible Suppliers to the USDA Bovine EV Programs

D. Pet Foods – Veterinary Export Certificate for Processed Pet Foods and Treats

As of September 1, 2015 of this year, all heat-processed, shelf stable pet foods and treats exported to Canada with animal or animal by-products must be accompanied with a veterinary export certificate endorsed by the Animal and Plant Health Inspection Service (APHIS) Veterinary Services. For those products with no animal by-products, the processor must ensure the ingredient listing is displayed on the label or accompanied with all customs documentation.

To review these changes go to:

Canada – Pet Foods Treats, Compound Chew – Heat Processed, Shelf Stable Products – Multiple Shipments


E. Ready-to-Eat Products - EV Program

This program was initially established for closed-faced sandwiches being exported to Canada. Canadian requirements dictate that closed-faced sandwiches be produced under a Hazard Analysis and Critical Control Point (HACCP) plan. In the future, this program is likely to include other RTE programs. Only those manufacturing establishments participating in this EV program can export to Canada. The program is managed by the American Marketing Service (AMS). To learn more about this program go:

Microbiological Testing of Ready-To-Eat (RTE) Products Destined for Canada
http://www.ams.usda.gov/services/imports-exports/rte-canada

F. Fresh Fruit and Vegetable - Inspection Certificate

All fruits and vegetables imported into Canada must meet specific standards and packaging regulations laid out in the Canada Agricultural Products Act (CAPA), Fresh Fruit and Vegetable Regulations and
Processed Product Regulations. Exporters are urged to review these regulations on the Canadian Food Inspection Agency site at:

*Overview – Import and Interprovincial Requirements for Fresh Fruit and Vegetables*
All fresh and vegetable export must obtain a USDA’s Agricultural Marketing Service (AMS) certification (FV 205) and verification. An overview of the program can be found here:

*Specialty Crop Export Verification*
http://www.ams.usda.gov/services/imports-exports/sci

The importation of leafy green vegetables from the U.S. are limited to those growers and suppliers that have met the Leafy Green Good Agricultural Practices (GAP) food safety guidelines and are a signatory to the:

*California Leafy Green Products Handler Marketing Agreement*

To encourage trade, under the Export Apple Act, U.S. apple exports weighing more than 100 pounds are exempted from U.S. inspection and certification. More information on the U.S. Export Apple Act can be found at: [http://www.ams.usda.gov/AMSv1.0/getfile?dDocName=STELPRDC5106885](http://www.ams.usda.gov/AMSv1.0/getfile?dDocName=STELPRDC5106885)

**F1. Beyond the Border (BtB) Action Plan on Fruits and Vegetables**

In 2011, the U.S. President and the Prime Minister of Canada established a *Beyond the Border (BtB)* Action Plan with the objective of strengthening security and economic competitiveness. Under this plan, both governments have established pilot programs that help ease the flow of products both ways. More recently, the Canadian government agreed to reduce grade verification inspection rates for apples grown in the U.S. The program targets bulk apple shipments of 200 kg. (440 lbs.) or more that are subject to CFIA’s Ministerial Exemption Procedures. The program commenced in 2013 and since then, the numbers of grade inspections have been reduced from 75% to 25% this past year. In 2017, CFIA is scheduled to inspect only 5% of the U.S. apple shipments into Canada. To learn more about the program go to:

*Memorandum on U.S. grown apple shipments*

The Canadian government attempted piloting onions but for various reasons the commodity did lend itself to the current BtB program.

**G. Live Animals and Live Reproductive Organisms - Animal Health Export Certificate**

The Animal Health and Plant Inspection Service (APHIS) of USDA issues health certificates of live animals and live reproductive organisms being exported from the United States. These forms are
completed by an accredited veterinarian that certifies the herd and animal health status. Depending on the type of animals, additional tests and vaccinations are required.

For a general overview of APHIS Export Regulations and Summary of Requirements for Canada go to:

*Requirements for Canada – Live Animals*

*Import Requirements of Canada for Honey Bee Queens from the U.S.*

**NOTE:** Currently Canada is not accepting any ruminants, camelids, horses, or swine originating from states currently affected with vesicular stomatitis virus (VSV) or from states with premises currently under quarantined because of vesicular stomatitis virus.

For all ruminants, camelids, horses, and swine from states other than VSV affected states the following statement must be provided as a supplementary certification:

"*All states in which the animal(s) have resided in the past twenty-one (21) days were free from clinical and epidemiological evidence of vesicular stomatitis during the twenty-one (21) days immediately prior to export to Canada*."

CFIA periodically issues updated and changes on export certification procedures, U.S. exporters are responsible in keeping updated to ensure compliancy. To keep informed go to:

*Canada*

**G1. Travelling and Shipment of Pets to Canada**

Although the scope of this report is largely focused on commercial shipments, FAS Canada periodically receives calls from U.S. visitors travelling or shipping their pets to Canada. While, certification is not required for cats or dogs, the Canada Border Services Agency (CBSA) may request records of vaccinations. Also, inspection fees and quarantines may be required on certain pet shipments. Visitors are encouraged to work closely with their veterinarian to ensure all paperwork is in place. To obtain all requirements, please review the following site offered by Canadian Food Inspection Agency (CFIA):

*Travelling with Pets*
http://www.inspection.gc.ca/animals/terrestrial-animals/imports/policies/live-animals/pets/eng/1326600389775/1326600500578

**H. Plant and Plant Products Phytosanitary Certificate**
The Animal and Plant Health Service Inspection Service (APHIS) is responsible for issuing the phytosanitary certificate. This paperwork certifies that plants or plant products are free from quarantine pests, practically free from other injurious pests, and conform to the current phytosanitary regulations of Canada. Please review the following site to verify those plants and products that are require this certificate:

**APHIS Export Services**

### Section II. Other Export Certification/Accreditation Requirements

#### A. NOAA Inspection Certificate

The National Oceanic and Atmospheric Administration (NOAA) Seafood Inspection Program offers a variety of professional inspection services which ensure compliance with all applicable food regulations. In addition, product quality evaluation, grading and certification services on a product lot basis are provided. Benefits of obtaining a certificate include the ability to apply official marks, such as the U.S. Grade A, Processed Under Federal Inspection (PUFI) and Lot Inspection. Additional information on the Export Certification program can be obtained at the following web link:

**NOAA Export Certification**

#### B. Products Regulated by the Food and Drug Administration

The U.S. Food and Drug Administration can issue a Certificate of Export, which is also referred to as a Certificate of Free Sale. Some of these certificates are on a voluntary basis. These export certificates generally indicate that the product is marketed in the United States and eligible for export. Such certificates are neither guarantees nor a certification of the product's safety nor its quality. The Certificates of Export are issued at the request of a domestic (U.S.) company by a FDA’s Center for Food Safety and Applied Nutrition (CFSAN) or by a state governmental authority. Products obtaining these types of certificates are cosmetics, supplements, infant formula, and medical foods.

For more information on CFSAN, U.S. exporters are encouraged to contact the FDA export certificate specialists at:

**Export Certificate Contacts**
http://www.fda.gov/Food/GuidanceRegulation/ImportsExports/Exporting/ucm151486.htm

**Exporting Food Products from the United States**
http://www.fda.gov/food/guidanceregulation/importsexports/exporting/default.htm

#### C. Organic
On June 17, 2009, the United States and Canada jointly announced an organics equivalency arrangement, the first one of its kind. The equivalency arrangement follows a review by both nations of the other’s organic certification program and a determination that products meeting the standard in the United States can be sold as organic in Canada, and vice versa.

Producers and processors that are certified to the National Organic Program (NOP) standards by a U.S. Department of Agriculture accredited certifying agent do not have to become separately certified to the Canada Organic Product Regulation (COPR) standards in order for their products to be represented as organic in Canada. Likewise, Canadian organic products certified to COPR standards may be sold or labeled in the United States as organically produced. Both the USDA Organic seal and the Canada Organic/Biologique logo may be used on certified products from both countries. An overview of the arrangement can be accessed at the links below:

*Canada – US Organic Equivalence Arrangement (USCOEA)*

Specific Production Requirements for U.S. organic products going to Canada:
https://www.ams.usda.gov/services/organic-certification/international-trade/Canada

Frequently asked Questions about the Arrangement:
https://www.ams.usda.gov/sites/default/files/media/Equivalency%20FAQ.pdf

*USDA - National Organic Program*
http://www.ams.usda.gov/AMSv1.0/NOP

*CFIA – Organic Products*

Under the initiative of the Safe Food for Canadians Act (SFCA), provisions under Canada’s Organic Products Regulations were updated. In the coming years, these regulations will move to a single regulatory framework, recognized as the Safe Food for Regulations (SFCR). The set of the Canadian Organic Products Regulations are referenced in part 15 of this SFCR draft:

U.S. firms are reminded that the import and sale of organic food products in Canada are governed by the same rules and regulations that apply to non-organic food products. No distinction is made between organic and non-organic food with regard to import requirements. Currently, all Canadian packaging and labeling, grade, and inspection regulations apply equally to organic and non-organic foods. Organic claims are required to be printed in English and French. Please refer to the FAS Canada’s 2016 GAIN Exporter Guide (CA 16055) for further explanation.

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3 The Canadian government is modernizing their current framework of food regulations. Under the future framework, 13 sets of food regulations will be harmonized into one framework recognized as the Safe Food for Regulations (SFCR). The new framework is expected to be introduced at the end of 2017.
U.S. firms are reminded that the import and sale of organic food products in Canada are governed by the same rules and regulations that apply to non-organic food products. No distinction is made between organic and non-organic food with regard to import requirements. Currently, all Canadian packaging and labeling, grade, and inspection regulations apply equally to organic and non-organic foods. Organic claims are required to be printed in English and French. Please refer to the FAS Canada’s 2016 GAIN Exporter Guide (CA 16055) for further explanation.

**Section III. Specific Attestations Required on Export Certificate(s)**

Export certificates require the attestations of an approved USDA inspector or veterinarian. U.S. exporters are recommended to first contact their local state agencies at:

**North American State Departments of Agriculture**


U.S. food and agricultural companies should be aware there may be user fees on certain commercial shipments. Please check with the respective authorizing government office. See below for the nearest contact:

**Table B. U.S. Contact Information:**

<table>
<thead>
<tr>
<th>Name of Certificate</th>
<th>Issuing Authority</th>
<th>U.S. Contact</th>
</tr>
</thead>
</table>
|                      |                   | [https://uscensus.prod.3ceonline.com/](https://uscensus.prod.3ceonline.com/)  
Email: eid.scheduleb@census.gov  
Tel. 1-800-549-0595, ext.#2 | |

| **FSIS - Form 9135-3/9135-3A. Certificate for Export of Meat and Poultry Products to Canada** | **FSIS** | https://www.fsis.usda.gov/wps/portal/informational/contactus!/ut/p/a1/04_Sj9CPykssy0xPLMnMz0vMAfGjzOINAg3MDC2dDbz8LQ3dDDz9wgL9vZ2dDdydjYAKIvEocDclUj8O4GhASH-4fhReJSAXQBTgtqIgNzTCINNREQAaQZxN/?1dmy&current=true&urile=wcm%3apath%3a%2Ffsis-content%2Finternet%2Finformational%2Fabout-fsis%2Fkey-contacts%2Foffice-locations  
Email: MPHline.fsis@usda.gov |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Export Certification Bison EV Program Fresh Fruit and Vegetable Inspection Certificate RTE EV Program National Organ</strong></td>
<td><strong>AMS</strong></td>
<td><a href="http://www.ams.usda.gov/services/imports-exports">http://www.ams.usda.gov/services/imports-exports</a></td>
</tr>
<tr>
<td>Program</td>
<td>Website</td>
<td>Contact Information</td>
</tr>
<tr>
<td>------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------------</td>
<td>--------------------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
Plants – Email: AskNCIE.Products@aphis.usda.gov                                    |
<p>| Phyto sanitary Certificate for Plants and Plant Products                |                                                                                               |                                                                                       |</p>
<table>
<thead>
<tr>
<th>Agency (FDA) Certificate of Export</th>
<th></th>
</tr>
</thead>
</table>

Please refer to details of certificates in Table A.
Appendix I. Electronic Copy of Selected Export Certificates

Example: NAFTA Certificate
(Effective January 1, 2017, HS codes for some agricultural and food items have been updated)

![NAFTA Certificate Image]

1. Exporter Name and Address
2. Description of Goods
3. Tax Identification Number
4. Importer Name and Address
5. HS Tariff Classification Number
6. Preference Criterion
7. Producer
8. Net Cost
9. Country of Origin

I certify that:
- The information on this document is true and accurate, and I assume the responsibility for proving such representations. I understand that I am liable for any false statements or material omissions made on or in connection with this document.
- I agree to maintain and present upon request, documentation necessary to support this certificate, and to inform, in writing, all persons to whom the certificate was given of any changes that could affect the accuracy or validity of the certificate.
- The goods originated in the territory of one or more of the parties, and comply with the origin requirements specified for those goods in the North American Free Trade Agreement and unless specifically exempted in Article 111 or Annex 4C, there has been no further production or any other operation outside the territories of the parties.
- This certificate consists of [ ] pages, including all attachments.

<table>
<thead>
<tr>
<th>11a. Authorized Signature</th>
<th>11b. Company</th>
</tr>
</thead>
<tbody>
<tr>
<td>11c. Name</td>
<td>11d. Title</td>
</tr>
<tr>
<td>11e. Date</td>
<td>Telephone Numbers</td>
</tr>
<tr>
<td></td>
<td>(Voice) (Fascimile)</td>
</tr>
</tbody>
</table>

CBP Form 454 (04/11)
Example: FSIS Form 9135-3, Certificate for Export of Meat and Poultry Products

| CONSIGNOR | 1 |
| CONSIGNEE/IMPORTER | 2 |
| ORIGIN COUNTRY | U.S.A. |
| DESTINATION | CANADA |
| MEANS OF TRANSPORT | 5 |
| SHIPMENT MARKS | NUMBER/ NAME OF PACKAGES | EST. PLANT NUMBER/kHz of PRODUCT | PRODUCT DESCRIPTION/ SPECIES | LABEL APPROVAL NUMBER | NET WEIGHT (Check one and enter net weight)
| | | | | | }

<table>
<thead>
<tr>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
<th>11</th>
</tr>
</thead>
</table>

| USDA SEAL NUMBER (if applicable) | 12 |
| REMARKS | 13 |

I CERTIFY that the meat or meat food product specified herein is from animals that received both ante-mortem and post-mortem inspection and were found sound and healthy and that it has been inspected and passed as provided by law and regulations of the Department and is sound and wholesome, and I CERTIFY that the poultry and poultry products specified above came from birds that were officially given an ante-mortem and post-mortem inspection and passed in accordance with applicable laws and regulations of the United States Department of Agriculture and are wholesome and fit for human consumption.

BY ORDER OF THE SECRETARY OF AGRICULTURE

NAME OF OFFICIAL DESIGNATED

SIGNATURE OF THE

DATE SIGNED

SAMPLE

PREVIOUS EDITIONS OBSOLETE

FSIS FORM 9135-3 (01/30/2000)

24 January 2014
Example: Application for Approval of Labels containing Meat products

According to the Federal Regulation Act of 1985, an agent may not make or distribute, and a person is not required to request a product of the same product unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0570-0001. The form is required for correction or change in appearance, including the time for reviewing instructions, gathering existing data, preparing the form, and completing the collection of information. The form has been approved by OMB. Mailing address:

U.S. DEPARTMENT OF AGRICULTURE
FOOD SAFETY AND INSPECTION SERVICE
APPLICATION FOR APPROVAL OF LABELS, MARKING OR DEVICE

FSS has determined that information provided in Form 510, 15, and 15 is exempted from mandatory disclosure under the Freedom of Information Act and 5 U.S.C. 552a, (d)

APPLICANT: See Page 1 for instructions.

1. NAME OF PRODUCT

   A. PRODUCT PROCESS CATEGORY: Select one:
   (1) Slaughter - all species
   (2) Processed - ground
   (3) Processed - frozen
   (4) Processed - canned
   (5) Processed - canned
   (6) Processed - vacuum packed
   (7) Processed - shelf stable
   (8) Processed - frozen
   (9) Processed - shelf stable
   (10) Processed - frozen
   (11) Processed - shelf stable
   (12) Product with secondary inhibition - not shelf stable

   B. TYPE OF APPROVAL REQUESTED:
   (1) SKIN
   (2) TEMPORARY
   (3) EXTENSION OF TEMPORARY

   C. IS THE LABEL PREVIOUSLY APPROVED:
   (1) Yes
   (2) No

   D. TOTAL AVAILABLE LABELING SPACE FOR ENTIRE PACKAGE (Square inches)

   E. DATE OF APPROVAL:
   (1) Yes
   (2) No

   F. OTHER CLAIMS (Specify)

   G. DESCRIPTION OF OTHER CLAIMS

   H. SIGNATURE OF APPLICANT OR AGENT

   I. DATE

   J. CONDITIONS APPLYING TO USE OF LABELS OR DEVICE

   K. OMB Control Number 0570-0001, WHICH IS OBSOLETE.
Example: Pet Food and Treats Certificate (1 of 2)

**Certificate Reference Number:**

**Page** of **____**

VETERINARY EXPORT CERTIFICATE FOR PROCESSED\(^1\) PET FOOD AND TREATS CONTAINING ANIMAL PRODUCTS AND BY-PRODUCTS FROM THE USA TO CANADA

(SINGLE SHIPMENT)

<table>
<thead>
<tr>
<th><strong>Consignor (Name and Address):</strong></th>
<th><strong>Consignee (Name and Address):</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>Processing Plant (Name and Address) and USDA-APHIS approval number if applicable (^2):</strong></th>
<th><strong>Description of product(s):</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (i.e., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of inedible impurities of 0.15% by weight).</td>
<td></td>
</tr>
<tr>
<td>□ Pet foods and/or pet treats with non-exempt bovine-origin ingredients.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Quantity of the products (Number and Type of packaging or Weight):</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>Intended end use:</strong></th>
<th><strong>RESALE</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>Identification (Lot-batch or date of production):</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>Container(s) and seal(s) number(s):</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>Port of Entry:</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>Shipping Date:</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>Means of transportation:</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Truck</td>
</tr>
</tbody>
</table>

**Notes:**

1. “Processed” includes only hest treated and shelf-stable products.

2. In the case of multiple pet food manufacturing facilities, please attach addendum with complete list of names, addresses, and USDA-APHIS approval numbers (if applicable).

3. The CFIA follows the CIE categorization of countries for BSE Risk Status.

4. The term SRM refers to Specified Risk Materials of bovine animals originating from countries other than Negligible BSE Risk Status. SRMs include: the skull, brain, truncastratal ganglia, eyes, spinal cord and vertebreal column (excluding the vertebrae of the tail), theaneous processes of the thoracic and lumbar vertebrae and the wings of the coccygeus), the peptic tissues from bovine animals aged 30 months and older and the distal ileum from bovine animals of all ages.

5. Bovine origin animal proteins/processed proteins include those bovine-origin products produced in rendering facilities, including animal origin meals such as rendered meals, protein digests, hydrolysates, etc.
Example: USDA - AMS FV-205 Certificate for Fruit and Vegetables
Example: Animal Health Export Certificate VS Form 17-140 – Live Animals

![Example Certificate Image]
EXAMPLE: STATE APPLICATION FOR INSPECTION AND CERTIFICATION OF PLANTS AND PLANT PRODUCTS

<table>
<thead>
<tr>
<th>No Plant quaranty Export Certificate can be issued until application is completed (7 CFR 585).</th>
<th>According to the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it displays a valid OMB control number. This valid OMB control number for this information collection is 0575-0692. The time required to complete this information collection is estimated to average 35 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>U.S. DEPARTMENT OF AGRICULTURE</strong></td>
<td><strong>ANIMAL AND PLANT HEALTH INSPECTION SERVICE</strong></td>
</tr>
<tr>
<td><strong>PLANT INSPECTION AND QUARANTINE</strong></td>
<td><strong>APPLICATION FOR INSPECTION AND CERTIFICATION OF DOMESTIC PLANTS AND PLANT PRODUCTS FOR EXPORT</strong></td>
</tr>
<tr>
<td><strong>INSTRUCTIONS</strong>: APPLICANT - Forward original to the Officer in Charge where inspections, treatments, and certifications are to be performed. Complete Items 1 thru 11. OFFICE - Complete Item 12 thru 17.</td>
<td></td>
</tr>
</tbody>
</table>

1. NAME AND ADDRESS OF EXPORTER

2. NAME AND ADDRESS OF FOREIGN CONSIGNEE

3. NAME AND ADDRESS OF APPLICANT (or exporters agent)

4. PLACE WHERE ARTICLES WILL BE MADE AVAILABLE FOR INSPECTION AND/OR TREATMENT AND CERTIFICATION (Port and location)

5. APPROX. DATE OF DEPARTURE

6. PORT OF EXPORT

7. DESCRIPTION OF ARTICLES TO BE CERTIFIED

a. QUANTITY AND NAME OF PRODUCT AND BOTANICAL NAME

b. NUMBER AND DESCRIPTION OF PACKAGES
c. DISTINGUISHING MARKS
d. CERTIFIED ORIGIN

8. DECLARED MEANS OF CONVEYANCE

9. DECLARED POINT OF ENTRY

10. SIGNATURE (Applicant or exporters agent)

11. DATE

12. LOCATION OF ARTICLES

13. % OF MATERIALS EXAMINED

14. % OF MATERIALS INSPECTED

15. FINDINGS AND/or TREATMENT GIVEN (List source if necessary)

16. SIGNATURE

17. DATE AND TIME INSPECTED

PPQ FORM 172
A. APE 21505
Example: Aquatic Animal Health Export Certificate (1 of 4)
Example: Aquatic Animal Health Export Certificate (2 of 4)

Export Certification Requirements for Live Aquatic Animals
(Finfish/Molluscs/Crustaceans) for Food Service/Retail Use

Zoosanitary Requirements for Cultivated Aquatic Animals:

1. The OIE listed disease(s) listed in Section 4 is/are compulsorily notifiable to the competent authority in the exporting country and/or reports of suspicion of non-OIE diseases of concern are investigated by the competent (federal or state) authority.

2. The aquatic animals to be exported are not under any restriction by the exporting country's competent authority or intended for destruction or slaughter for disease control purposes.

3. The transport water that is used is sourced from the premises of origin of the aquatic animals, or has been treated in a manner that will prevent the introduction of the disease(s) in Section 4.

Federal endorsing official name and address

Date and signature of Federal endorsing official

Official Stamp:
Example: Aquatic Animal Health Export Certificate (3 of 4)

PRODUCER’S DECLARATION for live aquatic animals for food services and retail use.

I. Producer’s details

Name and address of production facility:

II. Animal

Description of Animal:

Origin of Animal:

Date of export as appears on the packaging or immediate container of the animals:

III. Animal Management:

1. For finfish species, the shipment is, to the best of my knowledge, free from visible ectoparasites and intermediate hosts.

2. Either

    To the best of my knowledge, only those species specified in the import permit are included in the shipment and the shipment is free from fellow travellers.

    OR

    For molluscs, if the shipment is not free of fellow travellers, then the shipment has been treated in a manner which will destroy the fellow travellers.

    (Check the appropriate box)
IV. Packing and Shipping Attestations:

1. The aquatic animals are packaged in shipping containers, holding units and/or conveyances that are either new or cleaned and disinfected.

2. The shipping containers and/or holding units prevent release of the shipping contents (e.g., water or animals) while en route.

3. The aquatic animal(s) being presented for importation are packaged in a manner which prevents contact or exchange of animals or water between different shipments of aquatic animals on the same conveyance.

4. The package was labeled indicating the detailed contents, including the location in which the aquatic animal(s) was born, the taxonomic name of the aquatic animal(s), whether the aquatic animal(s) was(were) wild or farmed, and the number or biomass of the aquatic animal(s) in the shipment.

Signed

Date

Position within the Company:

Name and address of Company employee:
USDA Organic seal and the Canada Organic/Biologique logo
Glossary of Acronyms

AMS - Agricultural Marketing Service of USDA
APHIS – Animal and Plant Health Inspection Service of USDA
BtB – Beyond the Border Action Plan
CFIA – Canadian Food Inspection Agency
EV – Export Verification
FDA _ Food and Drug Administration
FSIS – Food Safety and Inspection Service of USDA
HACCP – Hazard Analysis and Critical Control Point
NAFTA – North American Free Trade Agreement Certificate of Origin
NOAA- National Oceanic And Atmospheric Administration
NOP – National Organic Program
PPQ – Plant and Products Quality Form
RTE – Ready-To-Eat
SAFE – Safe Food for Canadians Act
SFCR – Safe Food for Canadians Regulations
USCOEA – United States – Canada Organic Equivalence Arrangement