

THIS REPORT CONTAINS ASSESSMENTS OF COMMODITY AND TRADE ISSUES MADE BY USDA STAFF AND NOT NECESSARILY STATEMENTS OF OFFICIAL U.S. GOVERNMENT POLICY

Required Report - public distribution

Date: 12/18/2013

**GAIN Report Number:** CH13070

## **China - Peoples Republic of**

# Food and Agricultural Import Regulations and Standards - Certification

## **FAIRS Export Certificate Report - 2013**

#### **Approved By:**

Michael Riedel

#### **Prepared By:**

M. Meador and Ma Jie

#### **Report Highlights:**

This report lists major export certificates required by the Chinese government for imports of food and agricultural products.

#### **Executive Summary & Disclaimer**

Four years after the implementation of the 2009 Food Safety Law (GAIN Report *Food Safety Law of the People's Republic of China*, 3/11/2009), China restructured its food safety management system, by streamlining the domestic food safety regulatory agencies into a new China Food and Drug Administration (CFDA). To reflect this reform and to continue it food safety management, CFDA released a draft Food Safety Law for public comment in October, 2013.

In 2013, AQSIQ updated the Implementation Catalogue for Registration of Overseas Manufacturers of Imported Food. With which, dairy establishments that export products to China is also required to be registered with CNCA, given the transition period ending on May 1, 2014.

This report was prepared by the USDA/Foreign Agriculture Service Office of Agriculture Affairs Beijing for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, information provided may not be completely accurate because policies have changed since its preparation, or clear and consistent information about these policies was not available. It is highly recommended that U.S. exporters verify the full set of import requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. FINAL IMPORT APPROVAL OF ANY PRODUCT IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

#### Section I. List of All Export Certificates Required By Government (Matrix):

China has strict documentation requirements for the majority of imported food and agricultural products, including those relating to quality, quarantine, origin and import control, and which can vary between products and product categories. Products may also have to meet other criteria such as packaging requirements, pre-clearance (if applicable), treatment options, labeling requirements, and container conditions.

An inconsistent record of sanitary and phytosanitary notifications to the WTO means some import measures are modified and implemented without prior notice. This aspect makes it vital for U.S. exporters to work closely with Chinese importers to ensure that documentation requirements are met before shipping. Failure to do so could result in delays in product entering the country or even a rejection at the border.

#### **Export Certification Requirement Examples**

The following provides a sample of products and certification requirements. <u>Example copies of attestations are not available as they differ for each product and are subject to change</u>.

Table 1: Documentation Requirements

Products	Title of Certificate	Attestation Required on	Purpose	Requesting
		Certificate		Ministry
All	Quarantine	Information regarding the	General	AQSIQ
Agricultural	Inspection Permit	content, volume, and	Import	
and Food	(QIP)	physical characteristics of	Certificate	
Products		the shipment		
Milk and Milk	AMS Export	Product has been heat	Sanitary	AQSIQ
Products	Sanitary Certificate	treated and conforms to	Certificate	
		processing requirements		
		of country		
Dairy Products	APHIS Export	Certifies that the U.S. is	Health	AQSIQ
for Feed	Health Certificate	free of animal diseases	Certificate	
		such as rinderpest, foot		
		and mouth disease, and		
		contagious bovine		
		pleuropneumonia		
Fresh Fruits &	Phytosanitary	Varies by product.	Plant Health	AQSIQ
Vegetables,	Certificate	Certifies product is free of		
Tree Nuts, &		quarantine pests		
Forest		(Note: For apples, the		

Products		shipment must also show		
		proof that cold treatment		
		was applied)		
Fresh Fruits &	Certificate of Origin	Varies by product. A	Product	AQSIQ
Vegetables,		State Chamber of	Origin	
Tree Nuts		Commerce or other		
		official body certifies		
		product originates from		
		China-approved growing		
		sites		
Tree Nuts	Certificate of Quality	Processor or packer	Product	AQSIQ
		provides certificate	Quality	
		authenticating quality and		
		standards		
Cotton	(1) APHIS	Certifies cotton free of	Plant Health	AQSIQ
	Phytosanitary	quarantine pests		
	Certificate			
	(2) AMS Quality	Certifies quality of cotton	Product	AQSIQ
	Classification	imports	Quality	
Poultry &	(1) FSIS Export	Certifies quality and	Food Safety	AQSIQ
Meat Products	Health Certificate [1] [2]	safety of products		
	(2) Meat Quarantine	Import meat quarantine	Import	AQSIQ
	Import Permit	permit supplied by the	Control	
		importer only		
Poultry	Automatic	Import permit supplied by	Import	MOFCOM
	Registration Form	the importer only	Control	
Pork	H1N1 Certificate	Certifies pork is free of	Animal	AQSIQ
		H1N1	Health	
	Ractopamine test	Certifies pork is free of	Animal	AQSIQ
	report	ractopamine	Health	
Processed	Certificate of Origin	Varies by product. State	Product	AQSIQ
Products		Chamber of Commerce or	Origin	
		other official body		
		certifies product		
		originates from China-		
		approved locations		
Animal Feed	(1) Imported Feed	Certifies safety of	Animal	MOA
	and Feed Additive	imported feed and feed	Health	

SIQ
SIQ
SIQ
SIQ
SIQ
SIQ
SIQ
14
SIQ
,1Q
110
SIQ
SIQ
4/
SIQ
SIQ
-

	Products (pending negotiation)			
Fisheries – Processed Aquatic Products	DOC (NOAA ) Health Certificate	Certifies imported fishery products come from approved establishments, meet veterinary sanitary requirements and are fit for human consumption	Health Certificate	AQSIQ
Live Aquatic Products	DOC (NOAA) Certificate for US - origin live aquatic products, intended for exportation to China for direct human consumption	Certifies Health and Origin	Animal Health	AQSIQ
Wood and Wood Products	(1) APHIS Phystosanitary Certificate	Certifies wood and wood products are free from quarantine pests	Plant Health	AQSIQ
	(2) Certificate of Origin	Certifies origin of wood and wood product imports	Product Origin	AQSIQ
	(3) Declaration of No-Wood Packing Materials	To certify that shipments do not contain wood packing materials, if requested	Declaration	AQSIQ
Wood	Certification of Fumigation	To certify that logs with bark are fumigated to prevent pests	Plant Health	AQSIQ
Wine [2]	Wine Export Certificate	The multiple-purpose certificate used for certificate of origin, certificate of health/sanitation, and certificate of authenticity/free sale	Product Declaration	AQSIQ/ Customs

<sup>&</sup>lt;sup>[1]</sup> An electronic pre-notification of the certificate information must be submitted through e-TDE as of June 1, 2012 to AQSIQ. AQSIQ will forward to local CIQ offices at entry port. Without the electronic pre-notification, AQSIQ/CIQ will not clear imported meat and poultry products even goods have arrived at Chinese ports.

<sup>[2]</sup> As of March 1, 2014, TTB of the Department of Treasury will adopt the "Wine Export Certificate" as

the only official certificate for wine exports to China.

### Section II. Purpose of Specific Export Certificate(s) Certificate of Origin

For some products, China requires a certificate of origin, which is a document issued by the relevant authority of the exporting country's government, local or state Chambers of Commerce, state government, industry association, or manufacturer/supplier. This certificate should accompany the export consignment of all food and agricultural products, including processed and packaged food. Close collaboration with importers is recommended to obtain the type of certificate of origin that will satisfy the local quarantine and customs authorities.

#### **Quarantine Inspection Permit (QIP)**

To import any agricultural good into China, an importer must apply for a quarantine inspection permit (QIP) to cover that contract amount. The importer must supply documentation regarding the origin and volume of the shipment to AQSIQ with the QIP application. A QIP can technically cover multiple load/containers and is valid for six months.

#### **Phytosanitary Certificates**

This certificate is required to strengthen the administration of the examination and approval of animal and plant import quarantine and prevent infectious or parasitic animal diseases, insect pests and weeds dangerous to plants and other harmful organisms, from spreading into the country.

#### **Section III. Specific Attestations Required on Export Certificate(s)**

An original export certificate must accompany each export consignment at the time of entry into China. The certificate and attestation information must match the container numbers in the shipment. Export declarations provided by suppliers or manufacturers and State-issued phytosanitary certificates are not acceptable. However, China will accept State-issued certificates of origin or certificate of free sale (see Section IV).

#### Section IV. Government Certificate's Legal Entry Requirements

Apart from quarantine regulations, product imports may also have to meet other requirements, details of which are contained in the China Food and Agriculture Import Regulations and Standards (FAIRS) report. The most recent update in December 2013, can be downloaded from FAS' website: http://gain.fas.usda.gov/Pages/Default.aspx

#### Section V. Other Certification/Accreditation Requirements

#### **Registration of Overseas Food Manufacturing Facilities**

AQSIQ, China's quarantine authority, published Administrative Measures for Registration of Overseas Manufacturers of Imported Food, which became effective on May 1, 2012 (AQSIQ Decree 145). With a one year transition period, meat and seafood establishments must register with the domestic competent authorities to be qualified to export their products to China by May 1, 2013. In 2013, dairy establishments that export products to China are required to be registered with CNCA before May 1, 2014.

Currently, AQSIQ has agreed that US meat production (slaughter) facilities are exempt from registration under a pre-existing protocol. However, AQSIQ has taken a position that U.S. processed meat facilities are not covered under this protocol and as such, are subject to the registration requirements.

AQSIQ has indicated that after dairy establishments, wine facilities will be subject to registration requirements but no details have been announced. Until registration requirements are implemented for a particular industry, no additional registration requirements are required to export a manufactured food product to China.

For details of the registration requirements, please refer to GAIN report CH 12020 (6/27/2012).

#### **Registration Required for Foreign Food Product Exporters**

On October 1, 2012, AQSIQ began requiring the registration of exporters and importers of food products to China with its Inspection and Quarantine Bureau. For this purpose, AQSIQ developed an Efiling website, http://ire.eciq.cn (bilingual). For detailed requirements of the registration and some supplemental information, please refer to GAIN report CH12040 and CH 12057.

#### Certificate of Origin/Certificate of Free Sale

For some products, China requires a certificate of origin issued by the relevant authority of the exporting country's government, local or state Chambers of Commerce, state government, industry association, or manufacturer/supplier. This certificate should accompany the export consignment of all food and agricultural products, including processed and packaged food. Some China Inspection and Quarantine (CIQ) offices require only a certificate of origin. However, in many instances, CIQs require both the certificate of origin and a certificate of free sale.

The certificate should mention the order number, container number, port of discharge, buyer's name, and product description, in addition to a declaration along the following lines: "The undersigned for (relevant organization) declares that the following mentioned goods as consigned above (or below) are the products of the United States of America and are eligible for free distribution and use within the United States. We hereby certify the goods to be of United States of America origin."

#### **Meat Quarantine Import Permit (MQIP)**

A meat quarantine import permit (MQIP) covering the contract amount (the volume of the shipment must be included with the MQIP application) is required to import meat to China. A MQIP can technically cover multiple load/containers and is valid for six months. An importer can have multiple outstanding MQIPs with different companies, but can have only one outstanding MQIP with a particular production plant. Please refer to GAIN report CH7043 (6/13/2007) for more information.

#### Automatic Registration Form (ARF) on Poultry

In addition to the AQSIQ regulated MQIP, the Chinese Ministry of Commerce (MOFCOM) administers a separate import permit system for poultry, the Automatic Registration Form (ARF), which allocates a specific volume to eligible importers. According to MOFCOM, there is no predetermined trade volume limiting the issuance of ARFs; virtually all traders who apply for an ARF receive one. Please refer to GAIN report CH7043 (6/13/2007) for more information.

#### **Agriculture Biotech Product Labeling Policy**

China's labeling regulations, governed by Ministry of Agriculture Decree 10 (GAIN report CH7053, 6/22/2007), are "to strengthen the administration of GMO labeling, standardize the selling activities of agricultural GMOs, guide the production and consumption of GMOs and protect consumers' right to be informed." The regulations spell out the type of labeling required as well as the specific language that is required on the individual labels. The types of products listed are:

- 1. Soybean seed, soybeans, soybean powder, soybean oil and soybean meal;
- 2. Corn seeds, corn, corn oil and corn powder;
- 3. Rapeseed for planting, rapeseed, rape oil and rape meal;
- 4. Cotton seed;
- 5. Tomato seed, fresh tomato and tomato paste.

#### **H1N1** Certification for Pork

China halted pork imports from all H1N1-infected countries in May 2009. In May 2010, AQSIQ lifted its A-H1N1 ban, but all pork imports from the U.S. must have H1N1 certification and pack dates on or after March 24, 2010.

#### **H1N1** Certification for Live Swine

Effective March 2011, U.S. exports of live swine to China must be accompanied by an APHIS temporary attestation certifying that the live swine is free from H1N1.

Ractopamine-Free Certificate for Pork

Effective March 1, 2013, U.S. exports of pork to China are required to provide a "Ractopamine-Free" certificate.

#### **Organic Certification**

Organic field crops, livestock, aquaculture, wild plants, honey, fungus, processed foods, fertilizers, and pesticides products sold in China may qualify for organic certification. For certification, producers must pay the expenses associated with a Chinese certifier in-country inspection as well as the certification fee. The certificate is valid for one year. Renewal of the certification requires a follow-up in-country visit from Chinese inspectors. For more information, please refer to the GAIN *Organics Report*, 10/26/2010.

#### **New Certification Regulations on Domestic Organic Products**

China's Certification and Accreditation Administration (CNCA) released its new certification rules for domestic organic products. These rules became effective on March 1, 2012.

For details for the new regulations, please refer to GAIN report CH12025 (3/16/2012).

#### **Food Safety Law**

On June 1, 2009, China enacted a new Food Safety Law (FSL). For those ingredients or components not registered in China, GAIN report (6/9/2009) provides registration details for new-to-China ingredients/components. Any food or food ingredient/component that had an import history prior to the new FSL will be allowed entry even if there is no Chinese standard.

To check for relevant Chinese standards on imported foods, food additives, and food colorings, please see the Excel (.xls) appendices found on the FAS China website under the Food Regulations – Food Safety Law link: http://www.usdachina.org/info\_details1.asp?id=2431

#### **Animal Feed and Feed Additive Regulations**

Since 2009, China has published a host of feed quarantine regulations. Some key documents include:

- AQSIQ Feed Quarantine Regulation 118 (GAIN New Feed Quarantine Regulation, 9/8/2009)
- AQSIQ Feed Quarantine Implementing Announcement 372 (GAIN *Implementation of Feed Quarantine Regulation 118*, 9/25/2009)
- Regulation on the Administration of Feed and Feed Additives (FINAL) CH12008
- MOA Draft Regulation on Feed and Feed Additive Import Registration CH 12024
- MOA Feed Ingredient Catalogue (MOA Decree 1773) –CH 12079
- MOA revision of the Feed Ingredient Catalogue –CH 13019

According to Announcement 372, "AQSIQ will publish a list of countries/regions which are eligible to export feed products to China, as well as a list of the permitted products, and will carry out the registration of foreign feed producers in an orderly manner. For those countries/regions that have completed registration, the imported feeds shall only be sourced from the registered producers or processors." China has noted that this registration process is for quarantine, product safety and traceability reasons.

Exporters of non-ruminant animal derived feed (such as pet food) must complete AQSIQ's quarantine facility registration process. To comply, they should request USDA's Animal Plant Health Inspection Service (APHIS) to certify that their product(s) meet China's quarantine requirements, and then request that APHIS place their name on its list of certified exporters submitted to AQSIQ. Generally speaking, AQSIQ accepts the list several times a year and updates its internal list for distribution to provincial offices (CIQs). At this point, APHIS will certify a product is eligible for a "Health Certificate/Export Certificate for Animal Products" for export to China whose provisions are governed by the Protocol for Veterinary Health Requirements for Non-Ruminant Derived Animal Feed and Tallow to be Imported from the United States of American into the People's Republic of China. For further information, please refer to APHIS IRegs site:

http://www.aphis.usda.gov/regulations/vs/iregs/products/downloads/ch\_pf\_fi.pdf, and CH9071 (AQSIQ Feed Quarantine Regulation 118), CH9074 (Implementation of Feed Quarantine Regulation 118), CH9075 (Risk Levels and Inspection and Quarantine Supervision Methods for Import and Export of Feed and Feed Additives), CH9057 (Corn Quality Standards), and CH9083 (Feed Labeling).

On June 1, 2012, China's Ministry of Agriculture (MOA) published Decree No.1773, which is the final version of the Feed Ingredient Catalogue. This Feed Catalogue lists 87 single feed ingredients (including any feed except grains/oilseeds and their most basic/standard processed derivatives, e.g. soybeans/soy meal/soy oil or corn/corn meal/starch) that need to have a product registration license by January 1, 2013. For various products, MOA Decree 1773 requires more ingredients to be listed or be labeled. Neither the draft Feed Ingredient Catalogue, published on March 7, 2012 (GAIN CH12029), nor the Decree No. 1773 was notified to the World Trade Organization. Details on the feed registration process and history can be found in GAIN Reports CH6083 (2006), CH6084 (2006), CH6080 (2006), CH6099 (2006), CH6101 (2007), and CH6091 (2006).

On March 1, 2012, China's Ministry of Agriculture (MOA) published a Notice on "Regulation on Feed and Feed Additive Import Registration (Draft)" for public comment. The Regulation, when finalized, will replace the "Regulation on Feed and Feed Additive Import Registration (enforced on August 17, 2000)." Although the final Regulation has not yet been promulgated, MOA asked exporters/producers to follow the draft version to register products to be in compliance of Decree 1773.

On November 3, 2011, China's State Council published Decree No.609 on Regulation on the Administration of Feed and Feed Additives, which was approved by China's State Council on October 26, 2011, and entered into force on May 1, 2012. The Feed and Feed Additive Regulation (Draft) was published on February 20, 2010 (GAIN 10009). The Regulation (FINAL) was not notified to the World Trade Organization. A preliminary study of the Regulation (FINAL) shows no significant changes from the Draft. U.S. industry is recommended to pay attention to Chapter 2 for verification and registration for exports of feed and feed additives to China.

Table 2. AQSIQ list for Countries and Product Categories that are permitted to be imported into China: U.S.

Products	Registration Status	
Dairy products for feed usage	Import allowed, will complete the registration process step by step	
Pet foods	Only from the facilities registered with APHIS and AQSIQ	
Animal feed with non-ruminant origin ingredient	Only from the facilities registered with APHIS and AQSIQ	
Fishmeal, fish oil	Only from the facilities registered with APHIS and AQSIQ	
Brine shrimp	Import allowed, will complete the registration process step by step	
DDGS	Import allowed, will complete the registration process step by step/subject to anti-dumping duties	
Wheat bran	Import allowed, will complete the registration process step by step	
Alfalfa hay	Only from the facilities registered with APHIS and AQSIQ	
Raw rice bran	Only from the facilities registered with APHIS and AQSIQ	
Soybean cake and soybean meal	Import allowed, will complete the registration process step by step	
Cotton seed meal	Import allowed, will complete the registration process step by step	
Cacao shell	Import allowed, will complete the registration process step by step	
1		

Source: http://dzwjyjgs.aqsiq.gov.cn/zwgk/slaq/jjsljtjj/zrmd/201207/t20120730\_226865.htm (Updated on November 26, 2012)

Note 1: Pet Foods, Animal feed with non-ruminant origin ingredient, and Alfalfa Hay trade is governed by specific bilateral agreements, whose terms and restrictions can be found on the APHIS website. Note 2: For other feed products, China requires commodities be accompanied by a quarantine import permit (QIP) issued by AQSIQ/CIQ before export and an export certificate issued by the competent agency.

**Appendix I. Electronic Copy or Outline of Each Export Certificate** 

Attachment Name	Attachment Link
Animal Health Certificate (hides, wools, skins).pdf	<u>Download</u>
Animal Health Certificate.pdf	<u>Download</u>
Certificate of Origin.pdf	<u>Download</u>
FSIS Pork H1N1 Certificate bilingual for China.pdf	Download

Meat and Poultry Export Certificate.pdf	Download
Milk Certificate.pdf	Download
Non-Ruminant Feeds.pdf	Download
Phytosanitary certificate.pdf	Download
Processed Plant Products Certificate.pdf	Download
Fish Health Certificate.pdf	Download
05102011 NOAA Final Sample.pdf	Download
Certificate for Live Aquatic Animals Final Sample.pdf	Download
Certificate H1N1 for Live Swine.docx	Download