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## Vietnam

# **Food and Agricultural Import Regulations and Standards -Certification**

# **FAIRS Export Certificate Report**

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#### **Report Highlights:**

This report provides an overview of the health and quarantine certificates needed for exporting food and agricultural products to Vietnam. Minor updates have been made to Section I.6 - Animal Feed; Section I.3 – Meat and meat products; Section I.4 – Aquatic animals and products thereof; Section I.5 – Plants and plant products; Section II.3 - Export/Health Certificate; Section III.2 – Export Certificate for Seafood and Section III.10-Health Certificate for Live Aquatic Animals. No other changes have been made from the previous report. However, Post may issue a new FAIRS Export Certificate Report should a planned replacement of Vietnam's Decree 38/2012 on guiding the implementation of Food Safety Law have any effect of health certificates needed for exporting food and agricultural products to Vietnam.

#### **Report Summary**

A variety of certificates are required by the Government of Vietnam (GVN) in order to export food and agricultural products to Vietnam. Generally, in order to be eligible for import, all processed food items (of both animal and plant origin) need to be registered under the Announcement of Conformity (AC) with Regulations on Food Safety with the competent authority, such as the Ministry of Health (MOH). Certificates of HACCP, ISO22000, or equivalent, certificates of free sale, and certificates of analysis are required for the registration of AC. Animal and plant health certificates are required for the importation of animals and animal products and plant and plant products, respectively. In particular, U.S. exports of meat and meat products and live aquatic animals for consumption in Vietnam require specific export certificates that have been negotiated and agreed upon by U.S. and Vietnamese authorities.

Post notes that on November 23, 2017, MOH's Vietnam Food Administration (VFA) published a new Decree that would replace Vietnam's Decree 38/2012 of the Government guiding the implementation of Food Safety Law (known as Decree 38/2012) in an attempt to streamline and clarify a number of roles and responsibilities of Vietnam's ministries in relation to food import regulations. The GVN has yet to finalize the amendment of Decree 38 (as of December 2017). FAS-Hanoi will continue to follow this issue and will publish a new FAIRS Narrative and Certification report (if warranted). Please consult the most recent FAIRS Narrative Report that was published with this Certification Report for more information.

#### **Disclaimer:**

This report was prepared by the Office of Agricultural Affairs of the USDA/Foreign Agricultural Service in Vietnam for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, the information provided may not be completely accurate either because policies have changed since its preparation, or because clear and consistent information about these policies was unavailable. It is highly recommended that U.S. exporters verify the full set of certificate requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, and/or FAS/Vietnam, before any goods are shipped. Final import approval of any product is subject to the importing country's rules and regulations as interpreted by border officials at the time of product entry.

#### Abbreviated terms for easy reference:

- GMP (Good Manufacturing Practices)
- HACCP (Hazard Analysis and Critical Control Points)
- ISO (International Standards Organization)
- MOH (Ministry of Health, Vietnam)
- VFA (Vietnam Food Administration)
- MARD (Ministry of Agriculture and Rural Development, Vietnam)
- DAH (Department of Animal Health, Vietnam)
- PPD (Plant Protection Department, Vietnam)
- DLP (Department of Livestock Production, Vietnam)
- NAFIQAD (National Agro-Forestry-Fishery Quality Assurance Department, Vietnam)
- APHIS (Animal and Plant Health Inspection Service, United States)
- FSIS (Food Safety and Inspection Service, United States)
- AMS (Agricultural Marketing Service, United States)
- DOC (Department of Commerce, United States)
- NOAA (National Oceanic and Atmospheric Administration, United States)
- USFWS (United States Fish and Wildlife Service).
- CFS (Certificate of Free Sale)
- FBOs (Food Business Operators)

## Section I. List of All Export Certificates Required by Government (Matrix)

#### 1. Food

| Products  | Reference/ Requesting<br>Agency                              | Title of Certificate/Attestation required on Certificate                       | U.S. Issuing<br>Agency/<br>Form |
|---|--|--|---------------------------------|
|   |  | stration of the Announcement of Conf<br>y importers in advance of the first in |                                 |
| Food (for which Vietnam has technical regulations)  | Law on Food Safety<br>and Decree                             | Certificate of HACCP or<br>ISO22000, or equivalent                             |                                 |
|   | 38/2012/ND-CP<br>MOH/VFA                                     | Certificate of Analysis <sup>1</sup>   |                                 |
| Food (for which Vietnam                             | Law on Food Safety<br>and Decree<br>38/2012/ND-CP<br>MOH/VFA | Certificate of Analysis  |                                 |
| does not have technical regulations)                |  | Certificate of HACCP or<br>ISO22000, or equivalent                             |                                 |
| Functional food and<br>micronutrient fortified food | Law on Food Safety<br>and Decree<br>38/2012/ND-CP<br>MOH/VFA | Certificate of Free Sale <sup>2</sup> , Health<br>Certificate, or equivalent   |                                 |
|   |  | Certificate of Analysis  |                                 |
|   |  | Certificate of HACCP or<br>ISO22000, or equivalent                             |                                 |
| Genetically Engineered<br>(GE) and irradiated food  | Law on Food Safety<br>and Decree<br>38/2012/ND-CP<br>MOH/VFA | Certificate of Free Sale, Health<br>Certificate, or equivalent                 |                                 |
|   |  | Certificate of Analysis  |                                 |
|   |  | Certificate of HACCP or<br>ISO22000, or equivalent                             |                                 |

## 2. Animals and animal products

<sup>&</sup>lt;sup>1</sup>A Certificate of Analysis is not required in cases where an importer has results of the Certification of conformity to technical regulations granted by a certification body. <sup>2</sup> See Section II.1 for details.

| Products   | Reference/<br>Requesting<br>Agency          | Title of Certificate/Attestation required on Certificate                         | U.S. Issuing<br>Agency/<br>Form |
|--|---|--|---------------------------------|
| The following certificates a arrival date of a shipment. | re required to register                     | for a quarantine or food safety inspection prio                                  | r to or on the                  |
| Bovine embryos   | Circular 25/2016<br>/TT-BNNPTNT             | Health Certificate for export of bovine embryos                                  | APHIS                           |
| Bovine semen   | MARD/DAH                                    | Health Certificate for export of bovine semen                                    | APHIS                           |
| Breeding cattle  | _   | Health Certificate for export of breeding bovine                                 | APHIS                           |
| Swine  |   | Health Certificate for export of swine   | APHIS                           |
| Swine semen  | -   | Health Certificate for export of swine semen                                     | APHIS                           |
| Dairy products   | Circular 25/2016                            | Health/Export Certificate  | AMS                             |
|  | /TT-BNNPTNT<br>MARD/DAH                     | Certificate of HACCP or Good<br>Manufacturing Practices (GMPs), or<br>equivalent | AMS                             |
| Rendered Meals: Poultry or Porcine Meals                 | Circular 25/2016<br>/TT-BNNPTNT             | VS Form 16-4, "Export Certificate for<br>Animal Products"                        | APHIS                           |
|  | MARD/DAH                                    |  |                                 |
| Blood products   |   |  |                                 |
| Bovine Blood/Blood<br>Products (Slaughter<br>collection) | Circular 25/2016<br>/TT-BNNPTNT             | VS Form 16-4, "Export Certificate for<br>Animal Products"                        | APHIS                           |
|  | MARD/DAH                                    |  |                                 |
| Bovine Blood/Blood<br>Products (Live                     | Circular 25/2016<br>/TT-BNNPTNT             | VS Form 16-4, "Export Certificate for<br>Animal Products"                        | APHIS                           |
| Collection)  | MARD/DAH                                    |  |                                 |
| Gelatin  | -   |  |                                 |
| Porcine Bone-Derived<br>Gelatin                          | Circular 25/2016<br>/TT-BNNPTNT<br>MARD/DAH | VS Form 16-4, "Export Certificate for<br>Animal Products"                        | APHIS                           |
| Bovine Bone-Derived                                      | Circular 25/2016                            | VS Form 16-4, "Export Certificate for  | APHIS                           |
| Bovine Bone-Derived                                      | Circular 25/2016                            | VS Form 16-4, "Export Certificate for  | APHIS                           |

| Gelatin                                    | /TT-BNNPTNT<br>MARD/DAH                     | Animal Products"  |       |
|--|---|---|-------|
| Gelatin Derived from<br>Hides and/or Skins | Circular 25/2016<br>/TT-BNNPTNT<br>MARD/DAH | VS Form 16-4, "Export Certificate for<br>Animal Products" | APHIS |

#### 3. Meat and meat products

Note:

- See Section III.1 for a description of the required procedures for animal product processing facilities to become registered and eligible to export to Vietnam.

- The following certificates are required for quarantine of meat and meat products imported to Vietnam as well as temporarily imported for re-export, transported from one border-gate to another border-gate, imported-exported from bonded warehouses, and transited through the territory of Vietnam.

| Products  | Reference/<br>Requesting<br>Agency          | Title of Certificate/Attestation required on<br>Certificate   | U.S.<br>Issuing<br>Agency/<br>Form |
|---|---|---|------------------------------------|
| Pork and pork products                                  | Circular 25/2016<br>/TT-BNNPTNT             | Export Certificate-FSIS Form 9060-5   | FSIS                               |
| Pork offal  | MARD/DAH                                    | Export Certificate-FSIS Form 9060-5 and the FSIS<br>Letterhead Certificate for Pork Offal Export to<br>Vietnam  | FSIS                               |
| Poultry and<br>poultry products                         | Circular 25/2016<br>/TT-BNNPTNT<br>MARD/DAH | Export Certificate-Form 9060-5 and the <u>FSIS</u><br><u>Letterhead Certificate</u> for Poultry and Poultry<br>Products, including Poultry Offal for Export to<br>Vietnam     | FSIS                               |
| Beef, bone-in<br>and boneless,<br>and offal<br>products |   | Export Certificate- FSIS Form 9060-5 and the FSIS<br>Letterhead Certificate for the Export of Beef Meat,<br>Including Bone-In and Boneless, and Offal Products<br>to Vietnam. | FSIS                               |
| Protein-free beef<br>tallow                             | Circular 25/2016<br>/TT-BNNPTNT<br>MARD/DAH | Export Certificate -FSIS Form 9060-5  | FSIS                               |

### 4. Aquatic animals and products thereof

Note:

- See Section III.2 for a description of the required procedures for processing facilities to become registered and eligible to export to Vietnam.

- The following certificates are required for quarantine of aquatic animals and products thereof imported to Vietnam as well as temporarily imported for re-export, transported from one border-gate to another border-gate, imported-exported from bonded warehouses, and transited through the territory of Vietnam.

| Products   | Reference/<br>Requesting<br>Agency             | Title of Certificate/Attestation<br>required on Certificate                             | U.S. Issuing<br>Agency/<br>Form |
|--|--|---|---------------------------------|
| Live aquatic animals   | Circular<br>26/2016/TT-<br>BNNPTNT<br>MARD/DAH | Export Certificate  | APHIS                           |
| Fishery products, including<br>live aquatic animals for<br>human consumption | Circular<br>26/2016/TT-<br>BNNPTNT<br>MARD/DAH | Health Certificate for exports of<br>fishery products intended for human<br>consumption | NOAA                            |

#### **5. Plants and plant products**

Note:

- The following certificates are required for quarantine of plants and plant products imported to Vietnam and transited through the territory of Vietnam

| Products                               | Reference/<br>Requesting Agency | Title of Certificate /Attestation<br>required on Certificate | U.S. Issuing<br>Agency/ Form |
|--|---------------------------------|--|------------------------------|
| The following certifica of a shipment. | tes are required for the regist | ration of quarantine inspection prior to or                  | r on the arrival date        |
| Plants and plant products              | Circular 33/2014<br>MARD/PPD    | Phytosanitary Certificate<br>PPQ Form 577                    | APHIS                        |
| GM plants for feed/food use            | Circular 33/2014<br>MARD/PPD    | Phytosanitary Certificate                                    | APHIS                        |
| Processed plant                        | Circular 33/2014 and            | Export Certificate   | APHIS                        |

| products <sup>3</sup>        | Decision 2515<br>MARD/PPD    | PPQ Form 578              |       |
|------------------------------|------------------------------|---------------------------|-------|
| Irradiated plant<br>products | Circular 33/2014<br>MARD/PPD | Phytosanitary Certificate | APHIS |

The following certificates are required for the registration of food safety inspection prior to or on the arrival date of a shipment.

| Irradiated plant | Circular 12/2015 | Certificate of Free Sale |  |
|------------------|------------------|--------------------------|--|
| products         | MARD/PPD         |                          |  |

#### 6. Animal Feed

| Animal Feed                            | Reference/<br>Requesting Agency  | Title of Certificate /Attestation<br>required on Certificate | U.S. Issuing<br>Agency/ Form |
|--|--|--|------------------------------|
| The following certifica                | tes are required for the reg   | gistration for circulation in Vietnam                        |                              |
| Animal feed/Feed                       | Decree 39/2017/ND-   | Certificate of Free Sale                                     |                              |
| Ingredients                            | ngredients CP<br>MARD/DLP/DOF  | Certificate of Analysis <sup>4</sup>                         |                              |
|  | Certificate of GMP or HACCP or ISO<br>or equivalent certificate (if any) |  |                              |
| The following certifica of a shipment. | tes are required for the ap  | plication for a quality inspection prior to or o             | n the arrival date           |
| Animal feed/Feed ingredients           | Decree 39/2017/ND-<br>CP   | Certificate of Analysis                                      |                              |
|  | MARD/DLP/DOF   |  |                              |

<sup>&</sup>lt;sup>3</sup> Refer to Section II for the list of processed plant products eligible for a Processed Plant Products Export Certificate (PPQ Form 578).

<sup>&</sup>lt;sup>4</sup> Vietnam requires a Certificate of Analysis granted by laboratories appointed by competent authorities of exporting countries or laboratories recognized by regional or international organizations of quality certification or appointed, accepted by MARD

## Section II. Purpose of Specific Export Certificate(s)

#### 1. Certificate of Free Sale

Certificate of Free Sale (CFS) is requested by Vietnam's competent authorities to verify that products are safe for consumers' health and produced in accordance with food safety regulations. The CFS should be granted by a competent authority in the country of origin, indicating the name of commodities, classification of commodities, date of issuance, name and address of manufacturer, reference number of certificate, and the name and address of the organization/competent authority issuing the certificate. The original CFS or a notarized/legalized copy of the document can be provided.

Depending on the type of commodity, the CFS is issued in the United States by a number of federal, state, and city government offices, as well as non-profit organizations. The Food and Drug Administration (FDA) provides an electronic application system for CFS for conventional food, including food additives and seafood.

In addition to FDA, State Departments of Agriculture can issue a CFS for exports. The directory of contacts within State Departments of Agriculture who issue export certification documents such as a CFS is available at: <u>http://www.fas.usda.gov/certificate-free-sale-point-contact-list</u>.

#### 2. Certificate of Analysis

A Certificate of Analysis (CA) is required by Vietnam's competent authorities to verify the compliance of product specifications with relevant Vietnamese technical regulations on food safety and quality. The CA should be issued within 12 months of the date of production and an original or notarized/legalized copy of the document should be provided.

MOH's Vietnam Food Administration (VFA) accepts Certificates of Analysis issued by:

- A laboratory designated by a state competent authority of an exporting country; or
- An independent accredited laboratory; or
- A laboratory of the origin country approved by a Vietnamese competent authority.

#### 3. Export/Health Certificate

Vietnam imposes a number of import requirements for animals and animal products. Export certificates should include additional attestations based on the model certificates accepted by the Department of Animal Health (DAH) and signed by U.S. officials. The U.S. agencies involved in issuing these certificates are the Food Safety and Inspection Service (FSIS), the Animal and Plant Health Inspection Service (APHIS), the Agricultural Marketing Service (AMS), and the NOAA Fisheries Seafood Inspection Office (SIP).

For meat, meat products, and seafood, Vietnam maintains lists of approved establishments based on submissions from individual U.S. Food Business Operators (FBOs) and U.S. government agencies. Due

to a major 2017 reorganization within MARD, DAH assumed responsibility for the registration for the export of food products of animal origin and seafood to Vietnam starting from July 18, 2017 (see GAIN report <u>VM7036</u>). Only those products approved for export to Vietnam processed at approved establishments may enter Vietnam. Approved establishments may also be subject to Vietnamese inspection. The lists of FBOs approved for exporting food of animal origin are available on <u>DAH's</u> website.

#### 4. Phytosanitary Certificates

Phytosanitary Certificates are requested to indicate that imported plant and plant products meet specified phytosanitary import requirements and are inspected by the relevant plant health authority of the exporting country. Unlike veterinary certificates, there is only one model certificate for all plant products. APHIS inspectors issue phytosanitary certificates and can attest to specific requirements based on Vietnamese regulations by making additional declarations on the Certificate.

#### **Certificate for Processed Plant Products**

APHIS publishes the List of Commodities eligible for a Processed Plant Products Export Certificate List of Commodities eligible for a Processed Plant Products Export Certificate (PPQ Form 578) and the List of Commodities ineligible for any type of PPQ Certification List of Commodities ineligible for any type of PPQ certification their website.

However, it should be noted that MARD broadly defines the List of articles subject to plant quarantine in Circular 30/2014 and then specifically defines the List of Harmonized System (HS) codes of products subject to plant quarantine in Decision 2515. This List includes various pre-packaged, consumeroriented, and highly-processed food products of plant origin, many of which are included in APHIS's List of Commodities ineligible for PPQ certification.

FAS-Vietnam continues to work closely with PPD to clarify the detailed interpretation of which products are subject to plant quarantine and to seek enforcement that minimizes the impact on the trade of agricultural products from the United States. Should U.S. exporters receive information about changing or new requirements for plant origin products from Vietnamese importers they should not hesitate to contact <u>aghanoi@fas.usda.gov</u> to inform Post of the situation and help gauge the impact that these increasingly strict requirements are having on trade.

## Section III. Specific Attestations Required on Export Certificate(s)

#### **1. Export Certificate for Meat and Meat Products**

Please refer to the FSIS Export Library for current export requirements on meat and meat products:<u>http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting products/export-library-requirements-by-country/Vietnam</u>

All exporters that wish to export meat and poultry products to Vietnam must complete an Appendix 3 template of "Circular 25". Exporters should work closely with importers and with industry - organizations such as the U.S. Meat Export Federation (USMEF) and the USA Poultry & Egg Export Council (USAPEEC) for assistance in obtaining the Appendix 3 format. The Appendix 3 must be submitted to U.S. Embassy Hanoi staff at the following e-mail address: <u>usda4circ25@gmail.com</u>. Exporters who wish to ship new products to Vietnam that are not yet registered must resubmit a revised Appendix 3 indicating the facility's desire to ship those additional products to Vietnam.

A warehouse is not required to register, however all product exported from a warehouse must be sourced from a facility or facilities on DAH's list. The Exporter must work with the importer to ensure all establishments from where the product is sourced appear on the DAH's list.

All FSIS export certificates accompanying the product must be signed by a FSIS Veterinarian. The Veterinary Degree (DVM or equivalent) must be indicated after the signature.

The following FSIS export certificates are required:

A. For poultry and poultry products, including offal: obtain a FSIS Form 9060-5, Meat and Poultry Export Certificate of Wholesomeness and the <u>FSIS Letterhead Certificate</u> for Poultry and Poultry Products, including Poultry Offal for Export to Vietnam.

B. For beef meat, including bone-in and boneless, and offal products from cattle less than 30 months of age slaughtered before March 27, 2015: obtain a FSIS Form 9060-5, Meat and Poultry Export Certificate of Wholesomeness and the FSIS Letterhead Certificate for the Export of Beef Meat, Including Bone-In and Boneless, and Offal Products to Vietnam.

C. For beef and beef products from cattle of any age slaughtered on or after March 27, 2015: obtain a FSIS Form 9060-5, Meat and Poultry Export Certificate of Wholesomeness and the <u>FSIS Letterhead</u> <u>Certificate</u> for the Export of Beef and Beef Products (including Bone-in and Deboned Beef and Beef Offal Products) to Vietnam from Cattle Slaughtered in the United States.

D. For protein-free beef tallow: include the following certification statement in the "Remarks" section of the FSIS Form 9060-5: "The protein-free tallow covered by this certificate has been tested for content of insoluble impurities with the results below 0.15 percent in weight." This certification statement will be included on the export certificate based on the presentation of a certificate issued by an independent laboratory report (not belonging to the exporter and/or the manufacturer).

E. For pork and pork products: obtain a FSIS Form 9060-5, Meat and Poultry Export Certificate of Wholesomeness.

F. For pork Offal: obtain a FSIS Form 9060-5 Meat and Poultry Export Certificate of Wholesomeness and the FSIS Letterhead Certificate for Pork Offal Export to Vietnam.

#### 2. Export Health Certificate for Seafood

According to MARD's Circular 25, firms desiring to export seafood products to Vietnam are required to be approved prior to shipment by the Vietnam competent authority-MARD/DAH (from July 18, 2017). In addition, firms must be an Approved Establishment in the NOAA Seafood Inspection Program (SIP) to obtain certification as MARD/DAH desires NOAA to inspect and certify the products being sent to Vietnam.

NOAA is also required by MARD/DAH as the U.S. competent authority to send originals of the Appendix 3 registration forms for each firm requesting approval and listing. Additional information, in the form of the process flow diagram, is required for each submission.

Once approved and listed on the DAH website, firms must request an Export Health Certificate from their local NOAA Fisheries SIP. The certificate must accompany every shipment to Vietnam and indicate the name of the producer on the list.

More information, including NOAA contact details, is available at:

http://www.seafood.nmfs.noaa.gov/pdfs/vietnam.pdf.

#### 3. Export Certificate for Gelatin products derived from Hides and/or Skins

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of the VS Form 16-4 (MAR 2010). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; animal species of origin must be identified as either porcine or bovine in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The gelatin was prepared exclusively from [insert bovine or porcine] hides and skins.

2. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical use-human; pharmaceutical use-animal; food-human consumption; food- animal consumption].

#### 4. Export Certificate for Porcine Bone-Derived Gelatin

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit

line should include the actual name of the manufacturer/exporter; specific intended end-use must be identified in statement #4).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The product was derived from healthy swine that were subjected to slaughter inspection in official establishments under the control of the competent authority.

2. The product was manufactured exclusively from the bones of swine.

3. The product was processed in a manner to ensure destruction of microbiological pathogens of concern.

4. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical usehuman; pharmaceutical use-animal; food-human consumption; food- animal consumption].

## 5. Export Certificate for Bovine Bone-Derived Gelatin

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; the pertinent section of #3 should be used, including a description of "the equivalent or better process" if (b) is used; specific intended end-use must be identified in statement #4).

The United States has been officially recognized by the World Organization for Animal Health (OIE) as a country having a negligible bovine spongiform encephalopathy (BSE) risk status.

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The product was derived from the bones of healthy cattle that were subjected to slaughter inspection in official establishments under the control of the competent authority.

2. The product was manufactured in accordance with U.S. laws and regulations, including exclusion of skulls and vertebral columns from cattle over 30 months of age from raw materials used to manufacture the product.

3. The bones from which the product was manufactured were subjected to (a) a process that includes degreasing, acid demineralization, acid or alkaline treatment, filtration, and sterilization at >138 degrees C for a minimum of 4 seconds; or (b) an equivalent or better process in terms of infectivity reduction, such as high-pressure heating. [Use only the pertinent section. If (b) is used, describe the equivalent or better process.]

4. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical usehuman; pharmaceutical use-animal; food-human consumption; food- animal consumption].

#### 6. Export Certificate for Bovine Blood/Blood Products (Live Collection)

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; specific blood product or derivative must be identified in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The certified products are of U.S. origin and are [insert type of blood product, e.g., whole blood; serum; plasma; <blood derivatives> such as albumin or globulin].

2. The products are intended to be used for [insert intended end use, e.g., in-vitro laboratory or diagnostic purposes].

3. The products were manufactured from bovine blood or one of its components or derivatives.

4. The blood used in the manufacture of the products was derived from cattle determined to be clinically healthy at the time of blood collection.

5. The products were manufactured in a facility approved by the competent authority and meet the requirements of the United States for domestic sale and use.

6. The products were manufactured in accordance with U.S. laws and regulations intended to ensure that they are unlikely to transmit disease agents, including transmissible spongiform encephalopathies (TSEs).

7. Adequate precautions were taken following processing to prevent product contamination with microbiological pathogens.

## 7. Export Certificate for Bovine Blood/Blood Products (Slaughter Collection)

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of VS Form 16-4 (MAR 2012), "Export Certificate for Animal Products." These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; specific blood product or derivative must be identified in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The certified products are of U.S. origin and are [insert type of blood product, e.g., whole blood; serum; plasma; <blood derivatives> such as albumin or globulin].

2. The products are intended to be used for [insert intended end use, e.g., in-vitro purposes (laboratory/diagnostics); animal feed].

3. The products were manufactured from bovine blood or one of its components or derivatives.

4. The blood used in the manufacture of the products was derived from healthy cattle subjected to slaughter inspection in an official establishment under the control of the competent authority.

5. The animals from which the blood was derived were not subjected to a stunning process, prior to slaughter, with a device injecting air or gas into the cranial cavity, or to a pithing process.

6. The products were manufactured in a facility approved by the competent authority and meet the requirements of the United States for domestic sale and use.

7. The products were manufactured in accordance with U.S. laws and regulations intended to ensure that they are unlikely to transmit disease agents, including transmissible spongiform encephalopathies (TSEs).

8. Adequate precautions were taken following processing to prevent product contamination with microbiological pathogens.

#### 8. Export Certificate for Poultry or Porcine Meals

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

This office has on file a notarized affidavit from [company/manufacturer] verifying the accuracy of the statements below.

1. The product [identify type of product, e.g., poultry byproduct meal or feather meal] is of U.S. origin and is intended to be used in aqua, poultry, and swine feeds, as well as pet food.

2. The product was manufactured in accordance with U.S. laws and regulations and meets the requirements of the United States for domestic sale and use in animal feed.

3. The product was subjected to a heat treatment at a minimum temperature of 118 degrees C (245 degrees F) for a minimum of 40 minutes; or a continuous hydrolyzing process at a minimum temperature of 122 degrees C (250 degrees F) for a minimum of 15 minutes with a minimum pressure of 55 lbs/square inch, or an equivalent method (describe if alternate method is used) to achieve commercial sterilization.

4. The product was manufactured using processing times and temperatures adequate to destroy microbiological pathogens of concern, including Salmonella, avian influenza, and Newcastle disease viruses, and result in a product fit for animal consumption.

5. The product was manufactured under sanitary conditions, including precautions to prevent contamination of the product with pathogenic agents following processing.

6. The product does not contain ruminant ingredients.

#### 9. Health Certificate and HACCP Certificate for Dairy Products

Since November 2012, the GVN accepts the AMS Sanitary Certificate for shipments of dairy products destined for human consumption. Exporters must ship products destined for human consumption using the AMS Sanitary Certificate, signed and dated by the appropriate regulatory authority prior to the date of shipment as it appears on the bill of lading. Exporters must apply for a certificate in time to have it signed prior to the product ship date. Certificates signed with a date after shipping will not be accepted by the competent authorities in Vietnam. Exporters can contact the U.S. Dairy Export Council for further clarification if required.

#### **10. Health Certificate for Live Aquatic Animals**

MARD's DAH allows for a Health Certificate for export of live aquatic animals issued by one of the U.S agencies listed below:

- APHIS (Animal and Plant Health Inspection Service)
- NOAA (National Oceanographic and Atmospheric Association)

Vietnam is also beginning to allow the importation of live seafood species for food use from the United States provided a very strict quarantine regime is followed. On September 7, 2015, DOF issued Decision 540/QD-TCTS-TTKN allowing the import of live American lobsters (*Homarus americanus*) to Vietnam. In August 2016, DAH accepted a Health Certificate for exports of fishery products intended for human consumption issued by NOAA with the following statement for live aquatic animals: "The shipment is not known to contain species not identified on this health certificate."

When exporting live aquatic animals for consumption, please check with FAS-Vietnam and importers for the list of live aquatic animals approved for import for human consumption.

## Section IV. Government Certificate's Legal Entry Requirements

a. The original export certificate needs to accompany the product at the time of entry.

b. In general, the export certificate is only valid for each consignment of products.

c. In most of the cases, Vietnam accepts a U.S. State issued Certificate of Free Sale and export certificates.

## Section V. Other Certification/Accreditation Requirements

#### **Quarantine Import Permit for Animals and Animal Products**

MARD/DAH requires a Quarantine Import Permit (QIP) issued by DAH prior to the importation of animals and animal products, poultry and meat, and seafood. Importers are required to submit the quarantine registration form to DAH. Within 5 working days of receipt of a valid dossier, based upon the disease situation in Vietnam and the exporting country, DAH shall grant the Quarantine Permit, and notify the importer of the quarantine authority that will conduct the quarantine process for the subject shipment.

#### **Quarantine Import Permit for Plants and Plant Products**

MARD/PPD requires a Plant Quarantine Import Permit issued by PPD prior to the importation of plant and plant products which are included on the list of articles subject to pest risk analysis. Importers are required to submit the application dossier indicating the origin and quantity of consignment to PPD. Within 15 days of receipt of a valid dossier, PPD shall grant the Plant Quarantine Import Permit; or notify importers of the refusal of quarantine by a written announcement.

# Appendix I. Electronic Copy or Outline of Each Export Certificate

| Certificate Name   | Available at            |
|--|-------------------------|
| Meat and Poultry Export Certificate of Wholesomeness<br>Form 9060-5                                    | FSIS website            |
| Letterhead Certificate for Poultry and Poultry Products, including Poultry Offal                       | FSIS website            |
| Letterhead Certificate for the Export of Beef Meat, including Bone-In and Boneless, and Offal Products | FSIS website            |
| Letterhead Certificate for Pork Offal  | FSIS website            |
| Export Certificate for Animal Products<br>VS Form 16-4   | APHIS<br>website        |
| Health Certificate for Export of Bovine Semen  | APHIS<br>website        |
| Health Certificate for Export of Live Aquatic Animals from the United States to Vietnam                | <u>APHIS</u><br>website |
| Health Certificate for Export of Breeding Cattle   | APHIS<br>website        |
| Export Certificate for Dairy products  | APHIS<br>website        |
| Export Certificate for Gelatin products  | APHIS<br>website        |
| Export Certificate for Blood products  | APHIS<br>website        |
| Export Certificate for Poultry or Porcine Meals  | APHIS<br>website        |
| Phytosanitary Certificate PPQ Form 577   | APHIS<br>website        |
| Export Certificate PPQ Form 578  | APHIS<br>website        |