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# Report Name: Food and Agricultural Import Regulations and Standards **Export Certificate Report**

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#### **Report Highlights:**

In 2020, Vietnam maintained certification requirements for imported animals and animal products and plant and plant products, as well as certificate requirements for processed and pre-packaged food. Updates have been made to Section 1.3 – Meat, Poultry and Products Thereof and Section 2.1 – Certificate of Free Sale, as U.S. competent authorities have switched to issue electronic export certificates.

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#### DISCLAIMER

This report was prepared by the Office of Agricultural Affairs of the USDA/Foreign Agricultural Service in Hanoi Vietnam, for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, information provided may not be completely accurate either because policies may have changed since its preparation, or because clear and consistent information about these policies was not available. It is strongly recommended that U.S. exporters verify the full set of import requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. FINAL IMPORT APPROVAL OF ANY PRODUCT IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

Post also notes that this report may not cover the regulations released after December 2020 by the GVN, should U.S. exporters have any questions, please contact <u>aghanoi@fas.usda.gov</u>.

#### **Executive Summary**

#### Vietnam Accepts Export Certificate for Meat and Poultry with Digital Signatures

Beginning on December 21, 2020, the Vietnam Department of Animal Health (DAH) accepts FSIS Export Certificate for Meat and Poultry generated electronically by the Public Health Information System (PHIS) with digital signature of FSIS public health veterinarians. Also starting on December 21, 2020, FSIS now issues a Form 9060-5B for meat and poultry products, including offal, instead of issuing separate FSIS letterhead certificates.

#### U.S. Food and Drug Administration (FDA) Issues CVM Export Certificate Electronically

On June 22, 2020, the FDA's Center for Veterinary Medicine (CVM) launched a new online system that enables U.S. exporters of animal food and drugs to apply for and receive export certificates electronically. Effective January 1, 2021, CVM will no longer issue export certificates on paper, including Certificates of Free Sale and Certificates of Good Manufacturing Practice for animal food and drugs.

## Section I. List of All Export Certificates Required by Government (Matrix)

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
Pre-packaged processed food	Law on Food Safety and Decree 15/2018/ND-CP	Certificate of GMP, HACCP, ISO 22000, IFS, BRC, FSSC 22000 or an equivalent certificate <sup>1</sup> for application of reduced import inspection	
Health supplements	Law on Food Safety and Decree 15/2018/ND-CP MOH/VFA	Certificate of Free Sale <sup>2</sup> , Certificate of Exportation or Health Certificate	FDA, State Department of Health
		Certificate of Good Manufacturing Practice (GMP) or an equivalent certificate will be required starting July 1, 2019 <sup>3</sup>	

#### 1. Food

<sup>&</sup>lt;sup>1</sup> It must be the certified true copy or consular legalized copy that is unexpired when submitted.

<sup>&</sup>lt;sup>2</sup> See Section II.1 for details.

<sup>&</sup>lt;sup>3</sup> It must be an authenticated copy.

Medical foods, food for special dietary uses.	Law on Food Safety and Decree 15/2018/ND-CP MOH/VFA	Certificate of Free Sale, Certificate of Exportation or Health Certificate	FDA, State Department of Health
Dietary products for children up to 36 months	Law on Food Safety and Decree 15/2018/ND-CP MOH/VFA	Certificate of Free Sale, Certificate of Exportation or Health Certificate	FDA, State Department of Health
Genetically Engineered (GE) and irradiated food	Law on Food Safety and Decree 15/2018/ND-CP MOH/VFA	Certificate of Free Sale or Health Certificate	

# 2. Animals and Animal Products

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
The following certifion on the arrival date of the content of the	-	register for a quarantine or food safet	y inspection prior to or
Bovine embryos	Circular 25/2016 /TT-BNNPTNT	Health Certificate for export of bovine embryos	APHIS
Bovine semen	MARD/DAH	Health Certificate for export of bovine semen	APHIS
Breeding cattle		Health Certificate for export of breeding bovine	APHIS
Swine		Health Certificate for export of swine	APHIS
Swine semen		Health Certificate for export of swine semen	APHIS
Dairy products	Circular 25/2016	Health/Export Certificate	AMS

	/TT-BNNPTNT MARD/DAH	Certificate of HACCP or Good Manufacturing Practices (GMPs), or equivalent	AMS
Rendered Meals: Poultry or Porcine Meals	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, "Export Certificate for Animal Products"	APHIS
Blood products			
Bovine Blood/Blood Products (Slaughter collection)	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, "Export Certificate for Animal Products"	APHIS
Bovine Blood/Blood Products (Live Collection)	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, "Export Certificate for Animal Products"	APHIS
Gelatin			
Porcine Bone- Derived Gelatin	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, "Export Certificate for Animal Products"	APHIS
Bovine Bone- Derived Gelatin	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, "Export Certificate for Animal Products"	APHIS
Gelatin Derived from Hides and/or Skins	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, "Export Certificate for Animal Products"	APHIS

# **3. Meat, Poultry, and Products Thereof**

Note:

- See Section 3.1 for a description of the required procedures for animal product processing facilities to become registered and eligible to export to Vietnam.

- The following certificates are required for quarantine of meat and meat products imported to Vietnam as well as temporarily imported for re-export, transported from one border-gate to another border-gate, imported-exported from bonded warehouses, and transited through the territory of Vietnam.

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
Pork and pork products, including pork offal	Circular 25/2016 /TT- BNNPTNT MARD/DAH	Prior to December 21, 2020: Export Certificate-FSIS Form 9060-5 and the <u>FSIS Letterhead Certificate</u> for Pork Offal Export to Vietnam Beginning December 21, 2020: Export Certificate - FSIS Form 9060-5 and Pork Offal Attestations FSIS Form 9060-5B	FSIS FSIS
Poultry and poultry products, including poultry offal	Circular 25/2016 /TT- BNNPTNT MARD/DAH	<ul> <li>Prior to December 21, 2020: Export Certificate-Form 9060-5 and the <u>FSIS Letterhead Certificate</u> for</li> <li>Poultry and Poultry Products, including Poultry Offal for Export to Vietnam</li> <li>Beginning December 21, 2020: Export Certificate - FSIS Form</li> <li>9060-5 and Poultry Offal Attestations FSIS Form 9060-5B</li> </ul>	FSIS
Beef, bone-in and boneless, and offal products		Prior to December 21, 2020: Export Certificate- FSIS Form 9060-5 and the FSIS Letterhead Certificate for the Export of Beef Meat, Including Bone-In and Boneless, and Offal Products to Vietnam. Beginning December 21, 2020: Export Certificate - FSIS Form 9060-5 and Beef Offal Attestations	FSIS

		FSIS Form 9060-5B	
Protein-free beef tallow	Circular 25/2016 /TT- BNNPTNT MARD/DAH	Export Certificate -FSIS Form 9060- 5	FSIS
Certificate of Origin	Circular 38/2018/TT_BTC MOF/Directorate of Customs	Certificate/Proof of Origin	

## 4. Egg products

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
Egg products	Circular 25/2016 /TT-BNNPTNT MARD/DAH	FSIS Form 9060-5EP	FSIS

## **5.** Aquatic Animals and Products Thereof

Note:

- See Section 3.2 for a description of the required procedures for processing facilities to become registered and eligible to export to Vietnam.

- The following certificates are required for quarantine of aquatic animals and products thereof imported to Vietnam as well as temporarily imported for re-export, transported from one border-gate to another border-gate, imported-exported from bonded warehouses, and transited through the territory of Vietnam.

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
Live aquatic animals	Circular 26/2016/TT- BNNPTNT MARD/DAH	Export Certificate	APHIS
Fishery products, including live aquatic animals for human consumption	Circular 26/2016/TT- BNNPTNT MARD/DAH	Health Certificate for exports of fishery products intended for human consumption	NOAA/Seafood Export Inspection
Raw materials intended for re- export to European Union (EU)	Law on Food Safety MARD/NAFIQAD	Certificate of Inspection – Fishery products intended for re-export to EU	NOAA/Seafood Export Inspection

## **6.** Plants and Plant Products

Note:

- The following certificates are required for quarantine of plants and plant products imported to Vietnam and transited through the territory of Vietnam

Products	Reference/ Requesting Agency	Title of Certificate /Attestation required on Certificate	U.S. Issuing Agency/ Form	
The following certificates are required for the registration of quarantine inspection prior to or on the arrival date of a shipment.				
Plants and plant products	Circular 33/2014 MARD/PPD	Phytosanitary Certificate PPQ Form 577	APHIS	

GM plants for feed/food use	Circular 33/2014 MARD/PPD	Phytosanitary Certificate	APHIS
Processed plant products <sup>4</sup>	Circular 33/2014 and Decision 2515 MARD/PPD	Export Certificate PPQ Form 578	APHIS
Irradiated plant products	Circular 33/2014 MARD/PPD	Phytosanitary Certificate	APHIS

The following certificates are required for the registration of food safety inspection prior to or on the arrival date of a shipment.

Irradiated plant products	Law on Food Safety	Certificate of Free Sale	
	MARD/PPD		

## 7. Animal Feed

Animal Feed	Reference/ Requesting Agency	Title of Certificate /Attestation required on Certificate	U.S. Issuing Agency/ Form		
The following certificates are required for the declaration of imported supplemental feeds					
Supplemental feeds	Decree 13/2020/ND-CP MARD/DLP/DFISH	Certificate of Free Sale or equivalent certificate issued by competent authorities of exporting countries Certificate of Analysis			
		Certificate of GMP or HACCP or ISO or equivalent certificate (if any)			

The following certificates are required for the application for import quality inspection

<sup>&</sup>lt;sup>4</sup> Refer to Section II for the list of processed plant products eligible for a Processed Plant Products Export Certificate (PPQ Form 578).

	Decree 13/2020/ND-CP MARD/DLP/DFISH	Certificate Free Sale	
ingredients		Certificate of Analysis	
Single ingredients		Certificate Free Sale	
		Certificate of Analysis	
		Certificate of GMP, HACCP or ISO or equivalent certificate	

## **Section II. Purpose of Specific Export Certificate(s)**

## 1. Certificate of Free Sale

Vietnam requires a Certificate of Free Sale (CFS) to verify that the products are legally sold or distributed in the market of the country of origin. The CFS should be granted by a competent authority in the country of origin, indicating the name of the commodities, classification of the commodities, date of issuance, name and address of manufacturer, reference number of certificate, and the name and address of the organization/competent authority issuing the certificate. The original CFS or a notarized/legalized copy of the document can be provided.

Depending on the type of commodity, the CFS is issued in the United States by a number of federal, state, and city government offices, as well as non-profit organizations. The Food and Drug Administration (FDA) provides an electronic application system for CFS (titled "Certificate of Export to a Foreign Government") for conventional food, including food additives and seafood. Further information is available at:

https://www.fda.gov/Food/GuidanceRegulation/ImportsExports/Export/ExportCertificates/ucm26028 0.htm

Notes: On June 22, 2020, FDA's Center for Veterinary Medicine (CVM) launched a new online system that enables exporters of animal food to apply for, track, and receive export certificates electronically. Effective January 1, 2021, CVM will no longer issue Certificates of Free Sale on paper. Exporters will be able to access these certificates online and print them on their own: https://www.fda.gov/animal-veterinary/cvm-updates/fda-launches-new-online-portal-assist-industry-exporting-animal-products

In addition to the FDA, State Departments of Agriculture can issue a CFS for exports. The directory of contacts within State Departments of Agriculture who issue export certification documents is available at: <u>http://www.fas.usda.gov/certificate-free-sale-point-contact-list</u>.

## 2. Certificate of Analysis

Vietnam requires Certificate of Analysis (CA) to verify the compliance of product specifications with relevant national technical regulations on food/feed safety and quality. The CA should be issued within 12 months of the date of production and an original or notarized/legalized copy of the document should be provided.

## 3. Export/Health Certificate

Vietnam imposes a number of import requirements for animals and animal products. Export certificates should include additional attestations based on the model certificates accepted by the Department of Animal Health (DAH) and signed by U.S. officials. The U.S. agencies involved in issuing these certificates are the Food Safety and Inspection Service (FSIS), the Animal and Plant Health Inspection Service (APHIS), the Agricultural Marketing Service (AMS), and the NOAA Fisheries Seafood Inspection Office (SIP).

For meat, meat products, and seafood, Vietnam maintains lists of approved establishments based on submissions from individual U.S. Food Business Operators (FBOs) and U.S. government agencies (see GAIN report <u>VM7036</u>). The lists of FBOs approved for exporting food of animal origin are available on <u>DAH's website</u>.

## 4. Phytosanitary Certificates

Vietnam requires phytosanitary certificates to indicate that imported plant and plant products meet specified phytosanitary import requirements and are inspected by the relevant plant health authority of the exporting country. Unlike veterinary certificates, there is only one model certificate for all plant products. APHIS inspectors issue phytosanitary certificates and can attest to specific requirements based on Vietnamese regulations by making additional declarations on the Certificate.

#### Phytosanitary Certificate for Processed Plant Products

APHIS publishes the List of Commodities eligible for a Processed Plant Products Export Certificate, List of Commodities eligible for a Processed Plant Products Export Certificate (PPQ Form 578), and the List of Commodities ineligible for any type of PPQ Certification on their <u>website</u>.

However, it should be noted that MARD/PPD broadly defines the list of articles subject to plant quarantine in Circular 30/2014 and then specifically defines the List of Harmonized System (HS) codes of products subject to plant quarantine in Decision 2515. This list includes various pre-packaged, consumer-oriented, and highly-processed food products of plant origin, many of which are included in APHIS's List of Commodities ineligible for PPQ certification.

FAS/Vietnam continues to work closely with MARD/PPD to clarify which products are subject to plant quarantine and to seek enforcement that minimizes the impact on the trade of agricultural products from the United States. Should U.S. exporters receive information about changing or new requirements for

plant origin products from Vietnamese importers they should not hesitate to contact <u>aghanoi@fas.usda.gov</u>

#### 5. Certificate of Origin

Vietnam's Customs requires a Certificate/Proof of Origin (C/O) for meat, livestock, and poultry products as of June 5, 2018. A detailed list of products and HS codes are provided in Appendix II of MOF's Circular 38/2018 dated April 20, 2018, regulating the identification of origin for imported and exported goods (GAIN report <u>VM8062</u>). The following information must be contained in the C/O: a. Name of exporter; b. Name of importer; c. Means of transport; d. Goods description, codes of goods [HS codes]; dd. Quantity, weight or volume of goods; e. Countries, groups of countries or territories of origin; g. Date of issuance; and h. Signature of the person in charge of issuing C/O.

#### Section III. Specific Attestations Required on Export Certificate(s)

#### 1. Export Certificate for Meat, Poultry and Products Thereof

As of May 20, 2019, FSIS issues FSIS Export Certifications of Wholesomeness for Meat and Poultry (FSIS Form 9060-5) and Siluriformes fish (FSIS Form 9060-SS) to Vietnam that are generated from the Public Health Information System (PHIS) export component. Please refer to the FSIS Export Library for current export requirements on meat and meat products: **Error! Hyperlink reference not valid.** 

https://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/exporting-products/export-library-requirements-by-country/Vietnam

Vietnam requires the competent authority of the exporting country to submit a list of exporters wishing to export meat and poultry products to Vietnam to DAH for their listing. Additionally, exporters must complete and submit Form 9, of Decree 15 (previously referred as Appendix 3, Circular 25) for review and approval by MARD/DAH to be registered to export. Facilities should contact FAS/Vietnam via the following e-mail address: <u>usda4circ25@gmail.com</u> to receive the application and instructions. The completed application will be submitted by FAS/Vietnam on behalf of the facility. Facilities should work closely with their importers and with their respective cooperator organization, such as the U.S. Meat Export Federation (USMEF) or the USA Poultry Export Federation (USAPEEC) during the application process. Exporters who wish to ship new products to Vietnam that are not yet registered must resubmit a revised Form 9 indicating the facility's desire to ship those additional products to Vietnam.

Beginning on December 21, 2020, FSIS includes the attestations required by Vietnam for meat and poultry products on FSIS Form 9060-5B, instead of on a separate FSIS letterhead certificate. The text of the attestations required by Vietnam has not changed. Both FSIS Form 9060-5 and Form 9060-5B will be generated electronically by PHIS with the name and title of the FSIS public health veterinarian, whose digital signature will be applied on the date of issuance.

The following FSIS export certificates are required:

A. For poultry and poultry products, including offal:

- Prior to December 21, 2020: Meat and Poultry Export Certificate of Wholesomeness - FSIS Form 9060-5 and the <u>FSIS Letterhead Certificate</u> for Poultry and Poultry Products, including Poultry Offal for Export to Vietnam.

– Beginning December 21, 2020: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and Poultry Offal Attestations FSIS Form 9060-5B

B. For beef meat, including bone-in and boneless, and offal products from cattle less than 30 months of age slaughtered before March 27, 2015:

- Prior to December 21, 2020: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and the <u>FSIS Letterhead Certificate</u> for the Export of Beef Meat, Including Bone-In and Boneless, and Offal Products to Vietnam.

- – Beginning December 21, 2020: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and Beef Offal Attestations FSIS Form 9060-5B

C. For beef and beef products from cattle of any age slaughtered on or after March 27, 2015:

- Prior to December 21, 2020: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and the <u>FSIS Letterhead Certificate</u> for the Export of Beef Meat, Including Bone-In and Boneless, and Offal Products to Vietnam.

- Beginning December 21, 2020: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and Beef Offal Attestations FSIS Form 9060-5B.

D. For protein-free beef tallow: include the following certification statement in the "Remarks" section of the FSIS Form 9060-5: "The protein-free tallow covered by this certificate has been tested for content of insoluble impurities with the results below 0.15 percent in weight." This certification statement will be included on the export certificate based on the presentation of a certificate issued by an independent laboratory report (not belonging to the exporter and/or the manufacturer).

E. For pork and pork products, including pork offal:

Prior to December 21, 2020: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and the <u>FSIS Letterhead Certificate</u> for Pork Offal Export to Vietnam.– Beginning December 21, 2020: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and Pork Offal Attestations FSIS Form 9060-5B

## 2. Export Health Certificate for Seafood

As mentioned in Section 3.1, establishments wishing to export seafood products to Vietnam for domestic consumption are required to be approved prior to shipment by the Vietnamese competent authority, MARD/DAH. Currently, DAH recognizes the NOAA Seafood Inspection Program (SIP) as the competent authority to send originals of the Appendix 3 registration forms for each firm requesting

approval and listing. Additional information, in the form of the process flow diagram, is required for each submission.

Once approved and listed on the DAH website, firms must request an Export Health Certificate from their local NOAA Fisheries SIP. The certificate must accompany every shipment to Vietnam and indicate the name of the producer on the list. Beginning January 1, 2020, NOAA SIP will use only a singular bicolor certificate stamp on all Export Health Certificates.

More information, including NOAA contact details, is available at:

#### https://www.fisheries.noaa.gov/export-requirements-country-and-jurisdiction-n-z#vietnam

Post notes that Vietnam does not require pre-listing for U.S. exporters for the export of raw materials to Vietnam for export processing. On June 18, 2019, NAFIQAD accepted the NOAA Certificate of Inspection for raw materials intended for re-export to EU.

#### 3. Export Certificate for Gelatin Products Derived from Hides and/or Skins

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of the VS Form 16-4 (MAR 2010). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; animal species of origin must be identified as either porcine or bovine in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The gelatin was prepared exclusively from [insert bovine or porcine] hides and skins.

2. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical

use-human; pharmaceutical use-animal; food-human consumption; food- animal consumption].

## 4. Export Certificate for Porcine Bone-Derived Gelatin

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; specific intended end-use must be identified in statement #4).

This office has on file a notarized affidavit from **[manufacturer/exporter]** verifying the accuracy of the statements below.

1. The product was derived from healthy swine that were subjected to slaughter inspection in official establishments under the control of the competent authority.

2. The product was manufactured exclusively from the bones of swine.

3. The product was processed in a manner to ensure destruction of microbiological pathogens of concern.

4. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical usehuman; pharmaceutical use-animal; food-human consumption; food- animal consumption].

## 5. Export Certificate for Bovine Bone-Derived Gelatin

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; the pertinent section of #3 should be used, including a description of "the equivalent or better process" if (b) is used; specific intended end-use must be identified in statement #4).

The United States has been officially recognized by the World Organization for Animal Health (OIE) as a country having a negligible bovine spongiform encephalopathy (BSE) risk status.

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The product was derived from the bones of healthy cattle that were subjected to slaughter inspection in official establishments under the control of the competent authority.

2. The product was manufactured in accordance with U.S. laws and regulations, including exclusion of skulls and vertebral columns from cattle over 30 months of age from raw materials used to manufacture the product.

3. The bones from which the product was manufactured were subjected to (a) a process that includes degreasing, acid demineralization, acid or alkaline treatment, filtration, and sterilization at >138 degrees C for a minimum of 4 seconds; or (b) an equivalent or better process in terms of infectivity reduction, such as high-pressure heating. [Use only the pertinent section. If (b) is used, describe the equivalent or better process.]

4. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical usehuman; pharmaceutical use-animal; food-human consumption; food- animal consumption].

## 6. Export Certificate for Bovine Blood/Blood Products (Live Collection)

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit

line should include the actual name of the manufacturer/exporter; specific blood product or derivative must be identified in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The certified products are of U.S. origin and are [insert type of blood product, e.g., whole blood; serum; plasma; <blood derivatives> such as albumin or globulin].

2. The products are intended to be used for [insert intended end use, e.g., in-vitro laboratory or diagnostic purposes].

3. The products were manufactured from bovine blood or one of its components or derivatives.

4. The blood used in the manufacture of the products was derived from cattle determined to be clinically healthy at the time of blood collection.

5. The products were manufactured in a facility approved by the competent authority and meet the requirements of the United States for domestic sale and use.

6. The products were manufactured in accordance with U.S. laws and regulations intended to ensure that they are unlikely to transmit disease agents, including transmissible spongiform encephalopathies (TSEs).

7. Adequate precautions were taken following processing to prevent product contamination with microbiological pathogens.

## 7. Export Certificate for Bovine Blood/Blood Products (Slaughter Collection)

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

## **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of VS Form 16-4 (MAR 2012), "Export Certificate for Animal Products." These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; specific blood product or derivative must be identified in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The certified products are of U.S. origin and are [insert type of blood product, e.g., whole blood; serum; plasma; <blood derivatives> such as albumin or globulin].

# 2. The products are intended to be used for [insert intended end use, e.g., in-vitro purposes (laboratory/diagnostics); animal feed].

3. The products were manufactured from bovine blood or one of its components or derivatives.

4. The blood used in the manufacture of the products was derived from healthy cattle subjected to slaughter inspection in an official establishment under the control of the competent authority.

5. The animals from which the blood was derived were not subjected to a stunning process, prior to slaughter, with a device injecting air or gas into the cranial cavity, or to a pithing process.

6. The products were manufactured in a facility approved by the competent authority and meet the requirements of the United States for domestic sale and use.

7. The products were manufactured in accordance with U.S. laws and regulations intended to ensure that they are unlikely to transmit disease agents, including transmissible spongiform encephalopathies (TSEs).

8. Adequate precautions were taken following processing to prevent product contamination with microbiological pathogens.

## 8. Export Certificate for Poultry or Porcine Meals

- Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

#### **Additional Requirements**

This office has on file a notarized affidavit from [company/manufacturer] verifying the accuracy of the statements below.

1. The product [identify type of product, e.g., poultry byproduct meal or feather meal] is of U.S. origin and is intended to be used in aqua, poultry, and swine feeds, as well as pet food.

2. The product was manufactured in accordance with U.S. laws and regulations and meets the requirements of the United States for domestic sale and use in animal feed.

3. The product was subjected to a heat treatment at a minimum temperature of 118 degrees C (245 degrees F) for a minimum of 40 minutes; or a continuous hydrolyzing process at a minimum temperature of 122 degrees C (250 degrees F) for a minimum of 15 minutes with a minimum pressure of 55 lbs/square inch, or an equivalent method (describe if alternate method is used) to achieve commercial sterilization.

4. The product was manufactured using processing times and temperatures adequate to destroy microbiological pathogens of concern, including Salmonella, avian influenza, and Newcastle disease viruses, and result in a product fit for animal consumption.

5. The product was manufactured under sanitary conditions, including precautions to prevent contamination of the product with pathogenic agents following processing.

6. The product does not contain ruminant ingredients.

## 9. Health Certificate and HACCP Certificate for Dairy Products

Since November 2012, the GVN accepts the AMS Sanitary Certificate for shipments of dairy products destined for human consumption. Exporters must ship products destined for human consumption using

the AMS Sanitary Certificate, signed and dated by the appropriate regulatory authority prior to the date of shipment as it appears on the bill of lading. Exporters must apply for a certificate in time to have it signed prior to the product ship date. Certificates signed with a date after shipping will not be accepted by the competent authorities in Vietnam. Exporters can contact the U.S. Dairy Export Council for further clarification if required.

## **10. Health Certificate for Live Aquatic Animals**

MARD/DAH allows for a Health Certificate for export of live aquatic animals issued by one of the U.S agencies listed below:

- APHIS
- NOAA

- Vietnam allows the importation of live seafood species for food use from the United States provided a very strict quarantine regime is followed. On September 7, 2015, DFISH issued Decision 540/QD-TCTS-TTKN allowing the import of live American lobsters (*Homarus americanus*) to Vietnam. In August 2016, DAH accepted a Health Certificate for exports of fishery products intended for human consumption issued by NOAA with the following statement for live aquatic animals: "The shipment is not known to contain species not identified on this health certificate."

When exporting live aquatic animals for consumption, please check with FAS/Vietnam and importers for the list of live aquatic animals approved for import for human consumption.

#### Section IV. Government Certificate's Legal Entry Requirements

- a. Export Certificate needs to accompany the product at the time of entry.
- b. In general, the export certificate is only valid for each consignment of products.

c. In most of the cases, Vietnam accepts a U.S. State issued Certificate of Free Sale and export certificates.

#### Section V. Other Certification/Accreditation Requirements

#### 1. Quarantine Import Permit for Animals and Animal Products

MARD/DAH requires a Quarantine Import Permit (QIP) issued by DAH prior to the importation of animals and animal products, meat and poultry, and seafood. Importers are required to submit the quarantine registration form to DAH. Within 5 working days of receipt of a valid dossier, based upon the disease situation in Vietnam and the exporting country, DAH shall grant the Quarantine Permit, and notify the importer of the quarantine authority that will conduct the quarantine process for the subject shipment.

#### 2. Quarantine Import Permit for Plants and Plant Products

MARD/PPD requires a Plant Quarantine Import Permit issued by PPD prior to the importation of plant and plant products which are included on the list of articles subject to pest risk analysis. Importers are required to submit the application dossier indicating the origin and quantity of consignment to PPD. Within 15 days of receipt of a valid dossier, PPD shall grant the Plant Quarantine Import Permit; or notify importers of the refusal of quarantine by a written announcement.

# **Appendix I. Electronic Copy or Outline of Each Export Certificate**

Certificate Name	Available at
Meat and Poultry Export Certificate of Wholesomeness	FSIS website
Form 9060-5	
Export Certificate for Animal Products	APHIS website
VS Form 16-4	
Health Certificate for Export of Bovine Semen	APHIS website
Health Certificate for Export of Live Aquatic Animals from the United	APHIS website
States to Vietnam	
Health Certificate for Export of Breeding Cattle	APHIS website
Export Certificate for Dairy products	APHIS website
Export Certificate for Gelatin products	APHIS website
Export Certificate for Blood products	APHIS website
Export Certificate for Poultry or Porcine Meals	APHIS website
Phytosanitary Certificate PPQ Form 577	APHIS website
Export Certificate PPQ Form 578	APHIS website

#### Attachments:

No Attachments